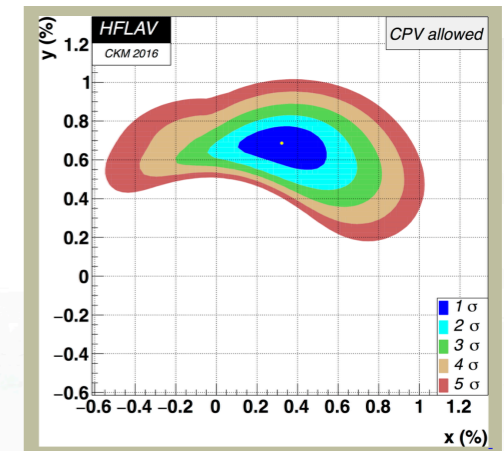
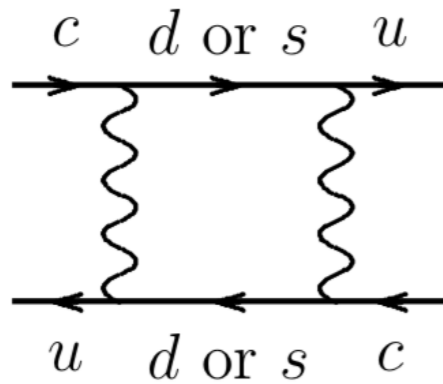


New Physics in the Charm Sector



Alexander Lenz, IPPP Durham

23./24. August 2018

The 2018 Weihai High-Energy Physics School

Outline

- 1. Indirect searches for new physics**
- 2. Heavy Quark Expansion**
- 3. D-Mixing**
- 4. Rare decays**

MOTIVATION FOR FLAVOUR PHYSICS

Baryon Asymmetry in the Universe:

A violation of the **CP symmetry** - which causes matter and anti-matter to evolve differently with time - seems to be necessary to explain the existence of matter in the Universe.

CP violation has so far only been found in hadron decays, which are experimentally investigated at LHCb and NA62 (CERN), SuperBelle (Japan),...



Indirect Search for BSM Physics:

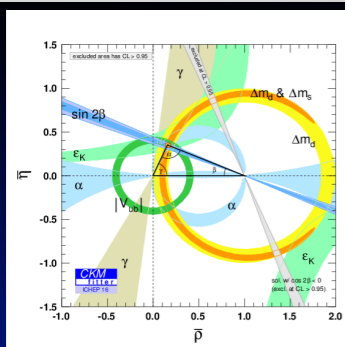
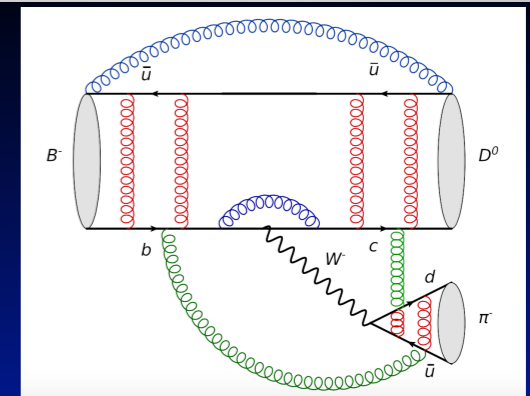
To find hints for **Physics beyond the Standard Model** we can either use brute force (= higher energies) or more subtle strategies like high precision measurements.

New contributions to an observable f are identified via:

$$f^{\text{SM}} + f^{\text{NP}} = f^{\text{Exp}}$$

Understanding QCD:

Hadron decays are strongly affected by **QCD** (strong interactions) effects, which tend to overshadow the interesting fundamental decay dynamics. Theory tools like **effective theories, Heavy Quark Expansion, HQET, SCET, ...** enable a control over QCD-effects and they are used in other fields like Collider Physics, Higgs Physics, DM searches...



Standard Model parameters:

Hadron decays depend strongly on Standard Model parameters like **quark masses** and **CKM couplings** (which are the only known source of CP violation in the SM). A precise knowledge of these parameters is needed for all branches of particle physics.

ANOMALIES 2 - 6 σ

► There are interesting anomalies in Flavour Physics

- 3-6: Semi-leptonic loop-level decays
- 3.9: Semi-leptonic tree-level decays
- 3.6: B-mixing phase (dimuon)
- 3.5: CPV in Kaons (huge lattice progress)
- 2.6: 30 GeV resonance (ALEPH)
- 2.6: Zbb coupling (LEP FB asym)
- 2.x: K-pi puzzle
- 2.0: B-mixing modulus (mass difference)

4 σ in neutron lifetime? Proton radius seems to be solved by Hänsch et al

SEMI-LEPTONIC LOOP LEVEL

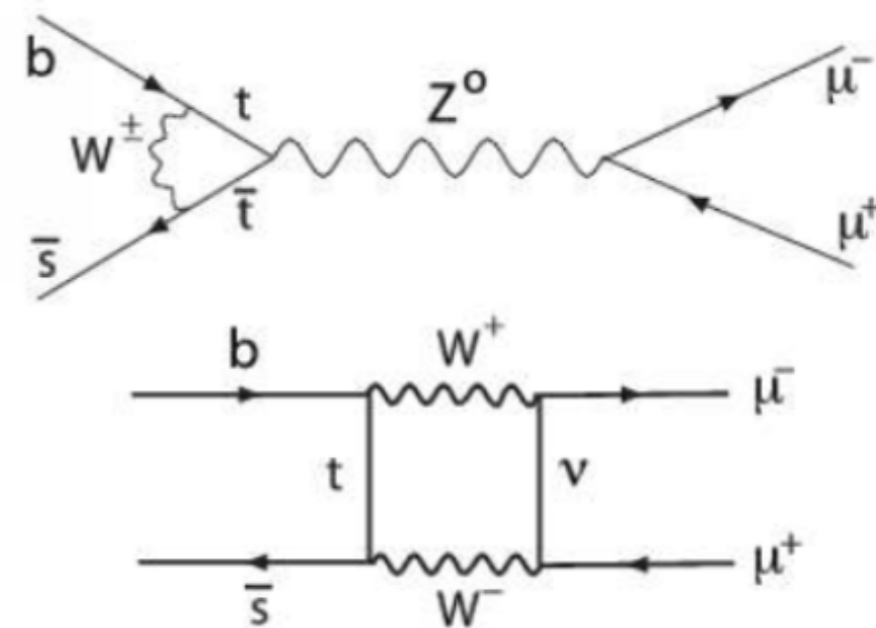
$$b \rightarrow s \mu \mu$$

relatively simple hadronic structure

$B_{d,s} \rightarrow \mu \mu$: **decay constant**

$H_b \rightarrow H_q \mu \mu$: **form factor**

Can be determined with lattice, sum rules,...



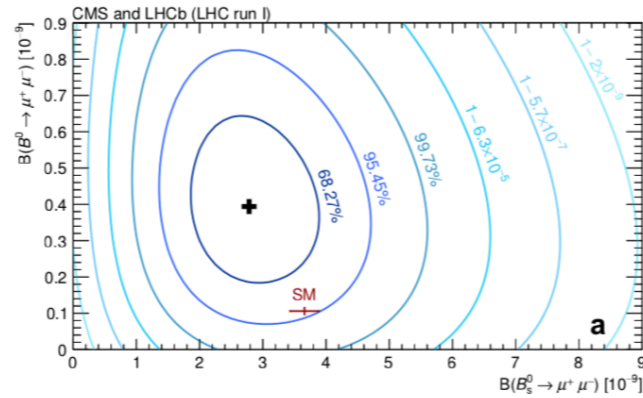
Observables:

- Branching ratios $Br(B_s \rightarrow \phi \mu \mu), Br(B \rightarrow K^* \mu \mu),$
- Angular observables, e.g. P'_5 **hadronic uncertainties cancel partially**
- Ratios $R_K = \frac{Br(B^+ \rightarrow K^+ \mu^- \mu^+)}{Br(B^+ \rightarrow K^+ e^- e^+)}$ **hadronic uncertainties cancel almost completely**

Understanding hadronic uncertainties is crucial for identifying BSM effects!

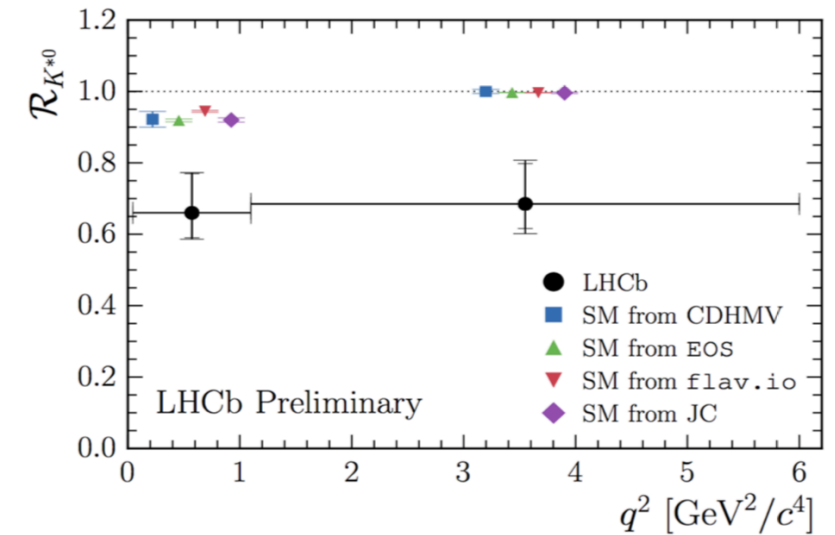
SEMI-LEPTONIC LOOP LEVEL $b \rightarrow s\mu\mu$

$$B_{d,s} \rightarrow \mu\mu$$

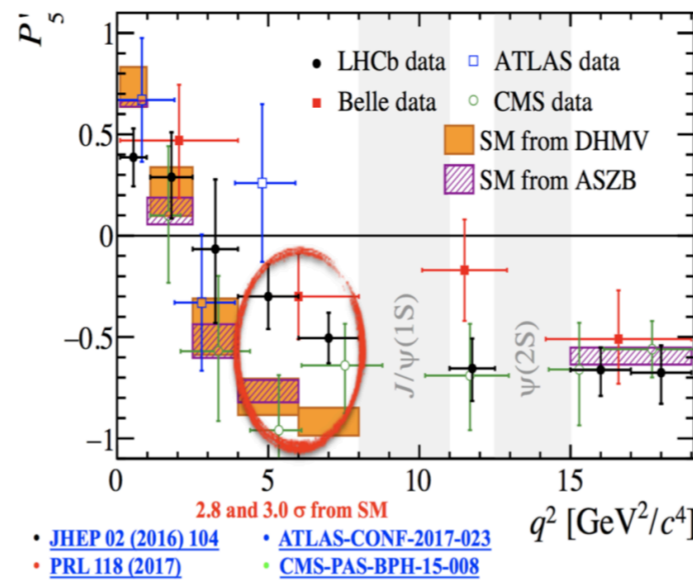


$$H_b \rightarrow H_q \mu\mu$$

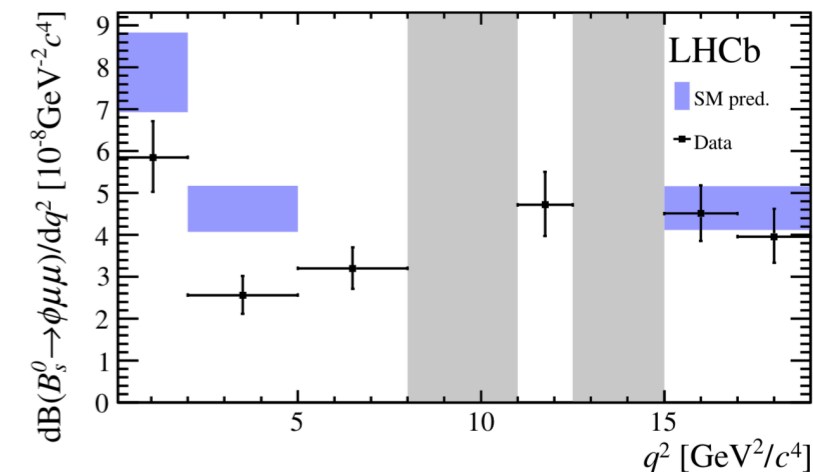
a)
$$R_K = \frac{Br(B^+ \rightarrow K^+ \mu^- \mu^+)}{Br(B^+ \rightarrow K^+ e^- e^+)}$$



b)
$$P'_5$$



c)
$$Br(B_s \rightarrow \phi \mu\mu), Br(B \rightarrow K^* \mu\mu)$$



SEMI-LEPTONIC LOOP LEVEL

Consistent picture of numerous (175) observables

all can be fitted in very simple scenario (BSM = -1/4 SM)

$$Q_{9V} = \frac{\alpha_e}{4\pi} (\bar{s}_L \gamma_\mu b_L) (\bar{l} \gamma^\mu l)$$

$$Q_{10A} = \frac{\alpha_e}{4\pi} (\bar{s}_L \gamma_\mu b_L) (\bar{l} \gamma^\mu \gamma^5 l)$$

e.g. just modify the Wilson coefficient C9!

3 σ 1704.05447

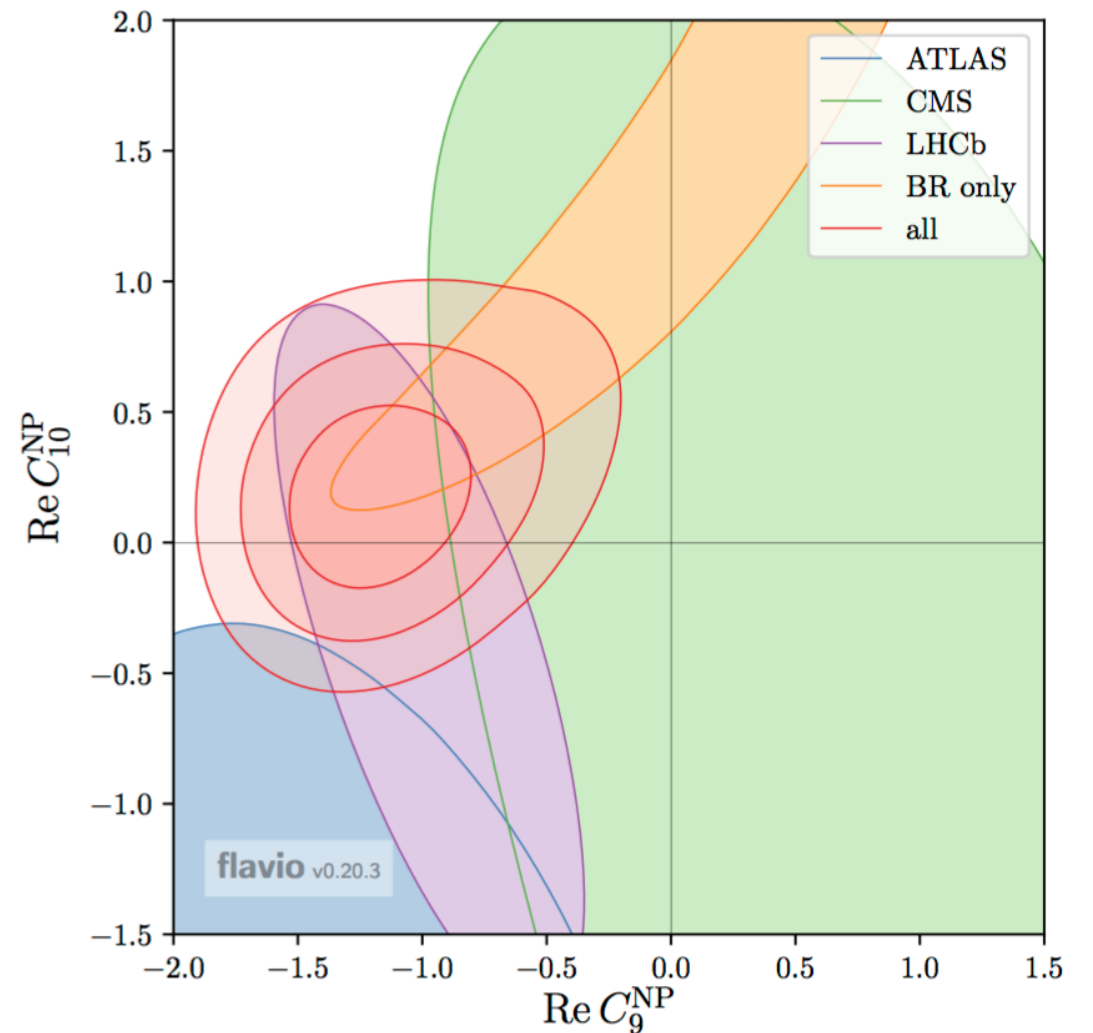
Ciuchini, Coutinho, Fedele, Franco, Paul, Silvestrini, Valli

On Flavourful Easter eggs for NP hunger and LFU violation

5.7 σ 1704.05340

Capdevilla, Cvrivellin, Descotes-Genon, Matias, Virto

Patterns of NP in b to all transitions in the light of recent data



arXiv:1703.09189 [pdf, other]

Status of the $B \rightarrow K^* \mu^+ \mu^-$ anomaly after Moriond 2017

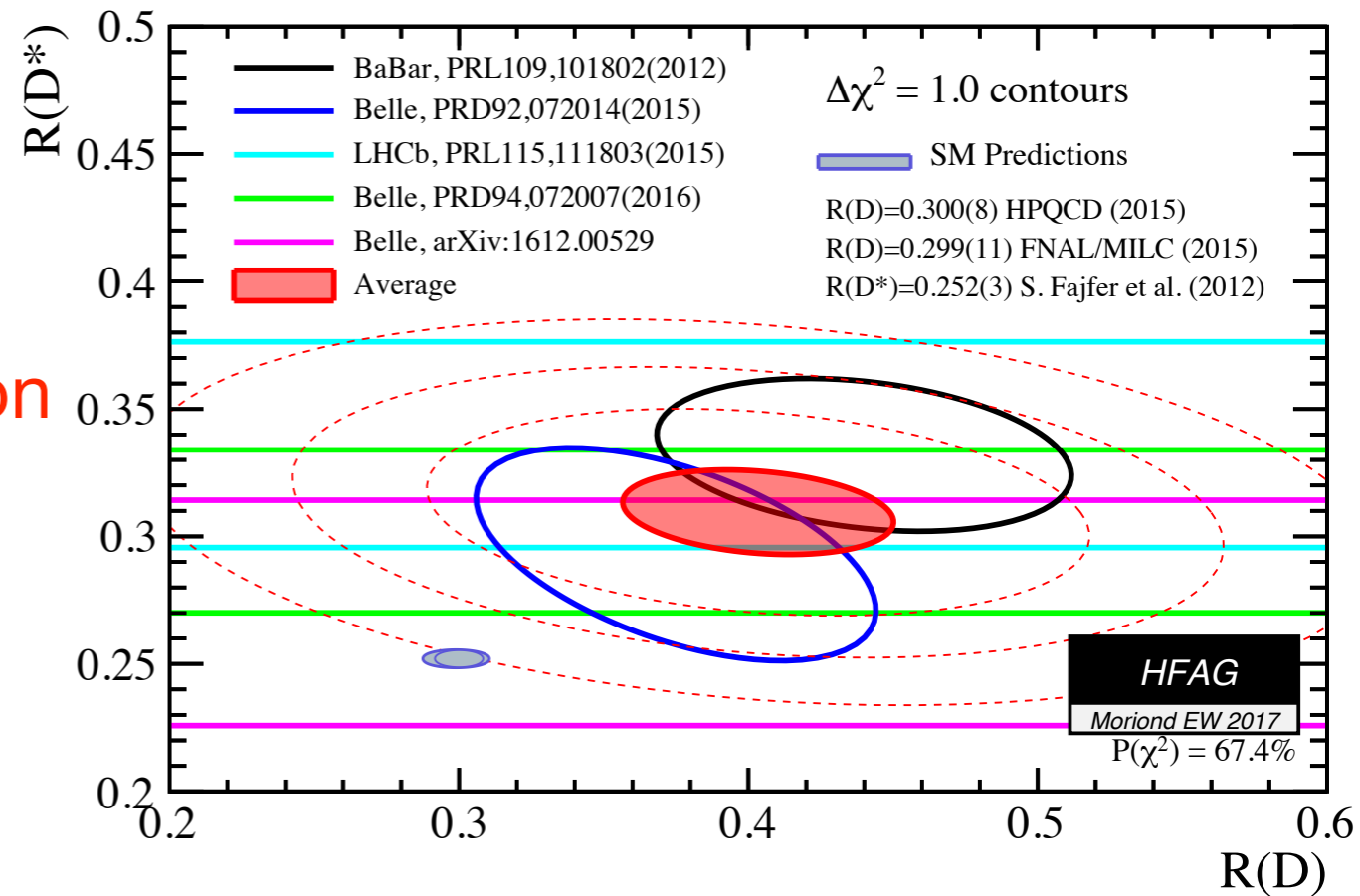
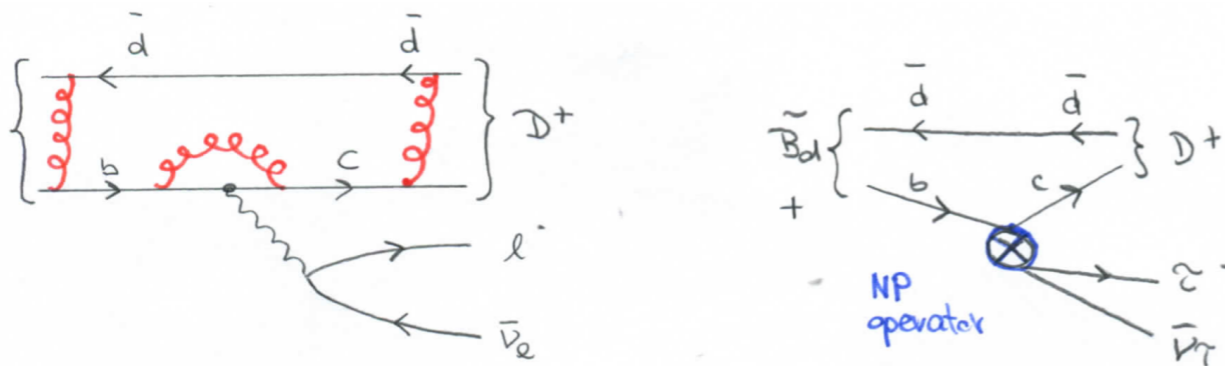
Wolfgang Altmannshofer, Christoph Niehoff, Peter Stangl, David M. Straub

Statistical significance depends on estimate of hadronic uncertainties!

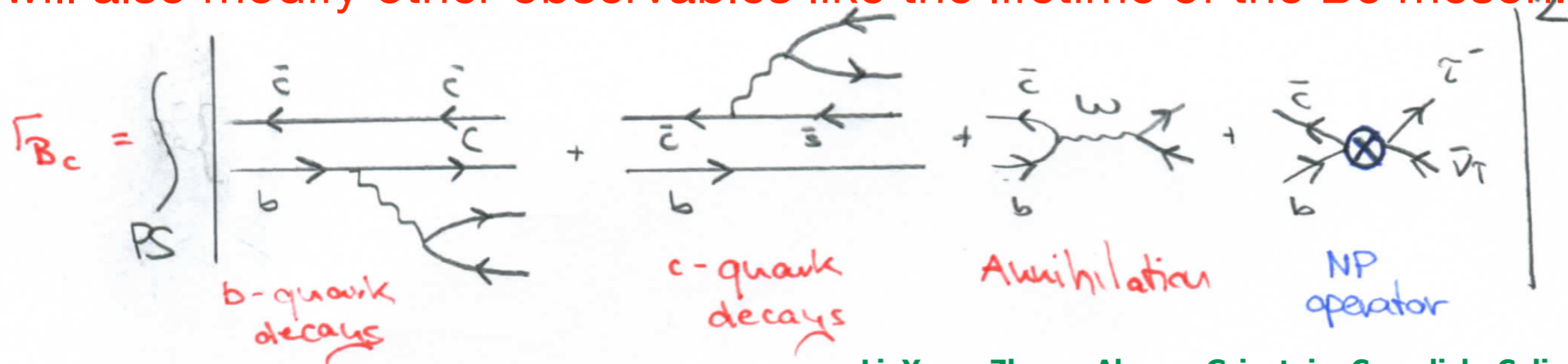
SEMI-LEPTONIC TREE LEVEL (THIS IS LARGE!)

$$R_{D^{(*)}} = \frac{Br(\bar{B} \rightarrow D^{(*)} \tau^- \bar{\nu}_\tau)}{Br(\bar{B} \rightarrow D^{(*)} l^- \bar{\nu}_l)}$$

Beware: any new $b \rightarrow c \tau \bar{\nu}_\tau$ contribution



will also modify other observables like the lifetime of the Bc meson!



ASSUME ANOMALIES ARE REAL

Incomplete list of models:

- **Z'** - new U(1) or SU(2)
- **Leptoquarks**
- **W'** - new SU(2)
- **Composite Models**
- **WED**
- **SUSY**
- **2HDM**
-
-

“Qual der Wahl” oder “Wahl der Qual”

=

agony of choice or choice of agony?

hundreds of papers...

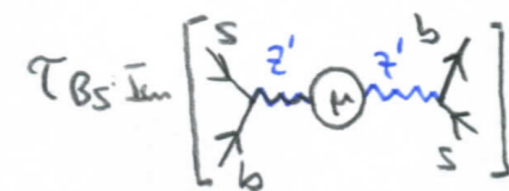
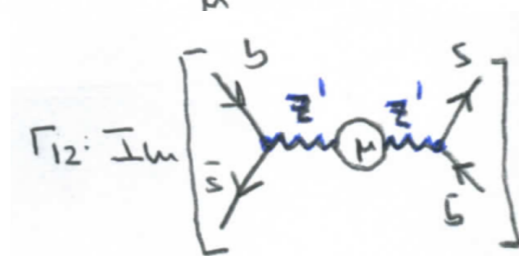
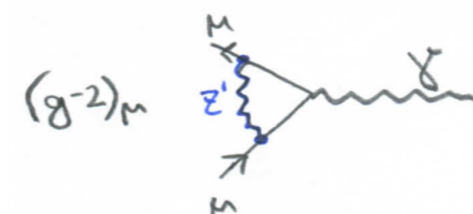
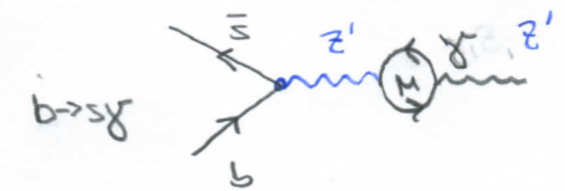
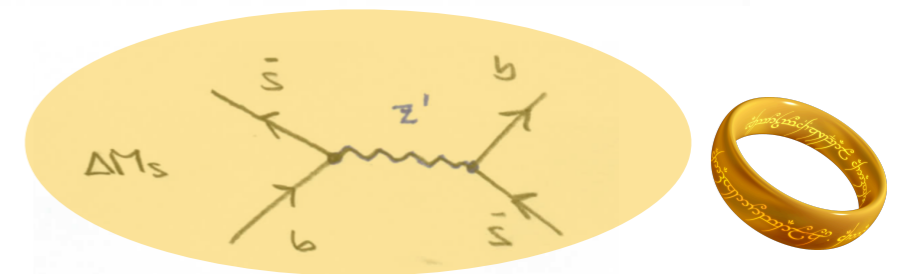
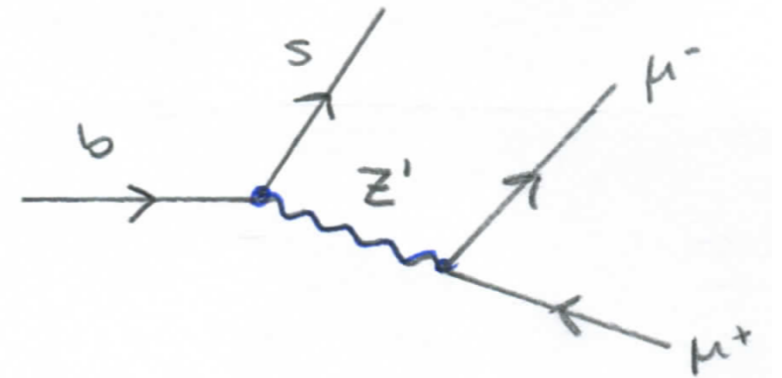


ASSUME ANOMALIES ARE REAL

A popular BSM model for solving the anomalies related to loop-level (semi) leptonic decays are Z' models:

Such a new tree-level transition will also affect many other observables, most notably **B-mixing at tree-level**, but also many loop processes.

Make sure all relevant bounds are included, e.g. electro-weak precision bounds



NEW: Bs mixing “disagrees” with the SM

using most recent input, in particular most recent lattice values for the **hadronic input**
 $f_B^2 B^2$ Bs mixing
from FLAG (dominated by Fermilab/MILC)

$$\Delta M_s^{\text{SM}, 2017} = (20.01 \pm 1.25) \text{ ps}^{-1}$$



$$\Delta M_s^{\text{Exp}} = (17.757 \pm 0.021) \text{ ps}^{-1}$$

BSM contributions should be **negative**
very stringent bound on many BSM models that explain the $b \rightarrow s \mu \mu$ anomalies

$$\frac{\Delta M_s^{\text{Exp}}}{\Delta M_s^{\text{SM}}} = \left| 1 + \frac{\kappa}{\Lambda_{\text{NP}}^2} \right|$$

$$\frac{\Lambda_{\text{NP}}^{2017}}{\Lambda_{\text{NP}}^{2015}} = \sqrt{\frac{\frac{\Delta M_s^{\text{Exp}}}{(\Delta M_s^{\text{SM}} - 2\delta\Delta M_s^{\text{SM}})^{2015}} - 1}{\frac{\Delta M_s^{\text{Exp}}}{(\Delta M_s^{\text{SM}} - 2\delta\Delta M_s^{\text{SM}})^{2017}} - 1}} \simeq 5.2$$

One constraint to kill them all?

Luca Di Luzio, Matthew Kirk, Alexander Lenz

*Institute for Particle Physics Phenomenology, Durham University,
DHI 3LE Durham, United Kingdom*

luca.di-luzio@durham.ac.uk, m.j.kirk@durham.ac.uk, alexander.lenz@durham.ac.uk

$$\frac{\Delta M_s^{\text{Exp}}}{\Delta M_s^{\text{SM}}} = \left| 1 + \frac{C_{bs}^{LL}}{R_{\text{SM}}^{\text{loop}}} \right|$$

Abstract

Many BSM models that explain the intriguing anomalies in the quark flavour sector are severely constrained by B_s -mixing, for which the SM prediction and experiment agreed well until recently. New non-perturbative calculations point, however, in the direction of a tiny discrepancy in this observable. Using this new input we find a considerable shift of the bounds on BSM models stemming from B_s -mixing.

$$C_{bs}^{LL} = \frac{\eta^{LL}(M_{Z'})}{4\sqrt{2}G_F M_{Z'}^2} \left(\frac{\lambda_{23}^Q}{V_{tb} V_{ts}^*} \right)^2$$

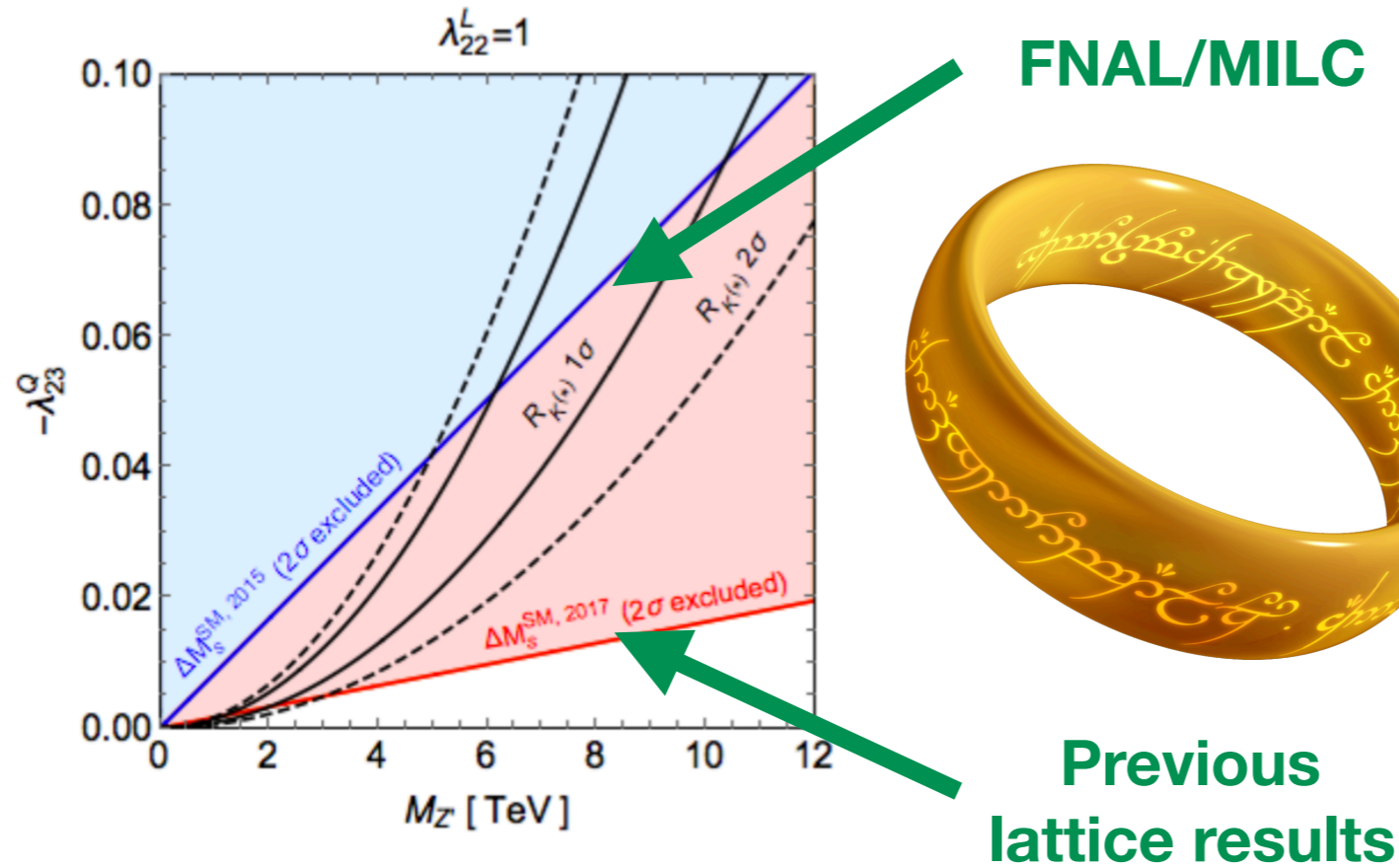


FIG. 2. Bounds from B_s -mixing on the parameter space of the simplified Z' model of Eq. (20), for real λ_{23}^Q and $\lambda_{22}^L = 1$. The blue and red shaded areas correspond respectively to the 2σ exclusions from $\Delta M_s^{\text{SM}, 2015}$ and $\Delta M_s^{\text{SM}, 2017}$, while the solid (dashed) black curves encompass the 1σ (2σ) best-fit region from $R_{K^{(*)}}$.

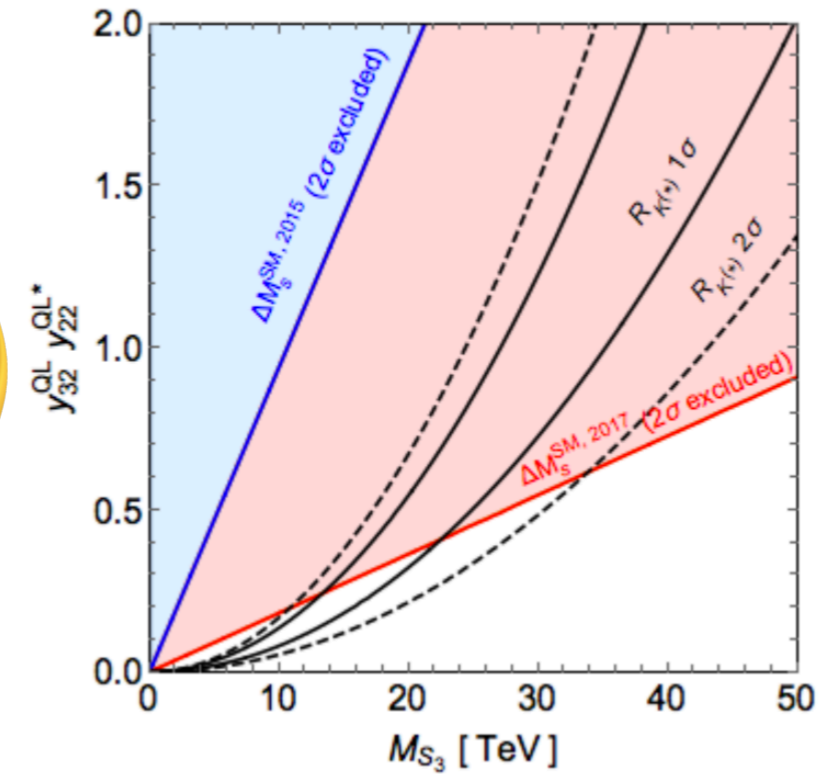


FIG. 3. Bounds from B_s -mixing on the parameter space of the scalar leptoquark model of Eq. (24), for real $y_{32}^{QL} y_{22}^{QL*}$ couplings. Meaning of shaded areas and curves as in Fig. 2.

Hadronic SM effects decide whether a BSM models is excluded or not

INDIRECT SEARCHES FOR NEW PHYSICS

Leave no stone unturned: So far most anomalies in the b-sector
– charm physics is complementary! –

Two lessons from recent history of ΔA_{CP}

$$\Delta A_{CP} = A_{CP}(D^0 \rightarrow K^+ K^-) - A_{CP}(D^0 \rightarrow \pi^+ \pi^-)$$

CHARM 2013
Manchester

- Ancient knowledge:

There is no CPV in the charm system

- LHCb: 1112.0938 - 175 citations

There is CPV in the charm system

- Theoretical (re)considerations

This is a clear indication of NP



NP = New Physics vs. NP = Non-perturbative QCD

- LHCb: 1303.2614 - 15 citations

What we actually meant: there is no CPV in the charm system

- Theorists: Experimentalists have to work harder!

INDIRECT SEARCHES FOR NEW PHYSICS

To identify BSM effects from indirect searches:

hadronic SM effects have to be understood

To study constraints on potential BSM models explaining the anomalies:

hadronic SM effects have to be understood

Charm physics is complementary

Lessons from ΔA_{CP} : **Experimental numbers can change significantly**

We do not really understand the SM contributions to charm physics

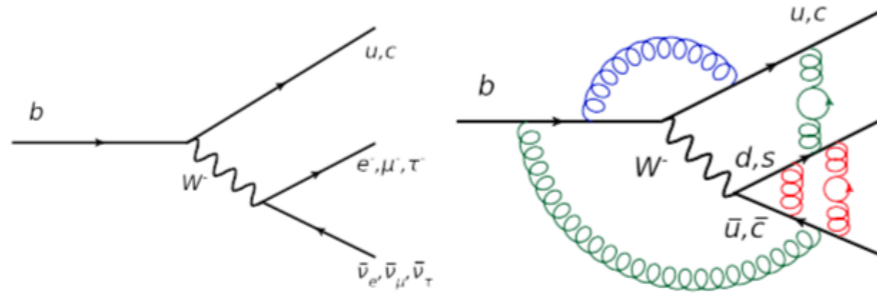
Try to understand SM contributions to Charm Physics

HEAVY QUARK EXPANSION

1). The effective Hamiltonian

OPE 1: OBVIOUS START

Effective Hamiltonian: Why not simply calculate in the full Standard Model?



Calculating the total inclusive decay rate of a b -quark we get

$$\Gamma_b = \frac{G_F^2 m_b^5}{192\pi^3} |V_{cb}|^2 c_{3,b} \quad (87)$$

with

$$c_{3,b} = \left\{ g\left(\frac{m_c}{m_b}, \frac{m_e}{m_b}\right) + g\left(\frac{m_c}{m_b}, \frac{m_\mu}{m_b}\right) + g\left(\frac{m_c}{m_b}, \frac{m_\tau}{m_b}\right) \right. \\ \left. + N_c |V_{ud}|^2 h\left(\frac{m_c}{m_b}, \frac{m_u}{m_b}, \frac{m_d}{m_b}\right) + N_c |V_{us}|^2 h\left(\frac{m_c}{m_b}, \frac{m_u}{m_b}, \frac{m_s}{m_b}\right) \right. \\ \left. + N_c |V_{cd}|^2 h\left(\frac{m_c}{m_b}, \frac{m_c}{m_b}, \frac{m_d}{m_b}\right) + N_c |V_{cs}|^2 h\left(\frac{m_c}{m_b}, \frac{m_c}{m_b}, \frac{m_s}{m_b}\right) \right\} \\ + \left| \frac{V_{ub}}{V_{cb}} \right|^2 \left\{ g\left(\frac{m_u}{m_b}, \frac{m_e}{m_b}\right) + g\left(\frac{m_u}{m_b}, \frac{m_\mu}{m_b}\right) + g\left(\frac{m_u}{m_b}, \frac{m_\tau}{m_b}\right) \right. \\ \left. + N_c |V_{ud}|^2 h\left(\frac{m_u}{m_b}, \frac{m_u}{m_b}, \frac{m_d}{m_b}\right) + N_c |V_{us}|^2 h\left(\frac{m_u}{m_b}, \frac{m_u}{m_b}, \frac{m_s}{m_b}\right) \right. \\ \left. + N_c |V_{cd}|^2 h\left(\frac{m_u}{m_b}, \frac{m_c}{m_b}, \frac{m_d}{m_b}\right) + N_c |V_{cs}|^2 h\left(\frac{m_u}{m_b}, \frac{m_c}{m_b}, \frac{m_s}{m_b}\right) \right\} \quad (88)$$

Phase space

$$f\left(\frac{m_c}{m_b}\right) = \begin{cases} 0.484 \\ 0.518 \\ 0.666 \end{cases} \quad \text{for } \begin{cases} m_c^{\text{Pole}} = 1.471 \text{ GeV}, & m_b^{\text{Pole}} = 4.650 \text{ GeV} \\ \bar{m}_c(\bar{m}_c) = 1.277 \text{ GeV}, & \bar{m}_b(\bar{m}_b) = 4.248 \text{ GeV} \\ \bar{m}_c(\bar{m}_b) = 0.997 \text{ GeV}, & \bar{m}_b(\bar{m}_b) = 4.248 \text{ GeV} \end{cases} \quad (90)$$

$$c_{3,b} = \begin{cases} 9 \\ 2.97 \\ 3.25 \\ 4.66 \end{cases} \quad \text{for } \begin{cases} m_c = 0, \\ m_c^{\text{Pole}}, m_b^{\text{Pole}} \\ \bar{m}_c(\bar{m}_c), \bar{m}_b(\bar{m}_b) \\ \bar{m}_c(\bar{m}_b), \bar{m}_b(\bar{m}_b) \end{cases} .$$

Overall result including NLO-QCD

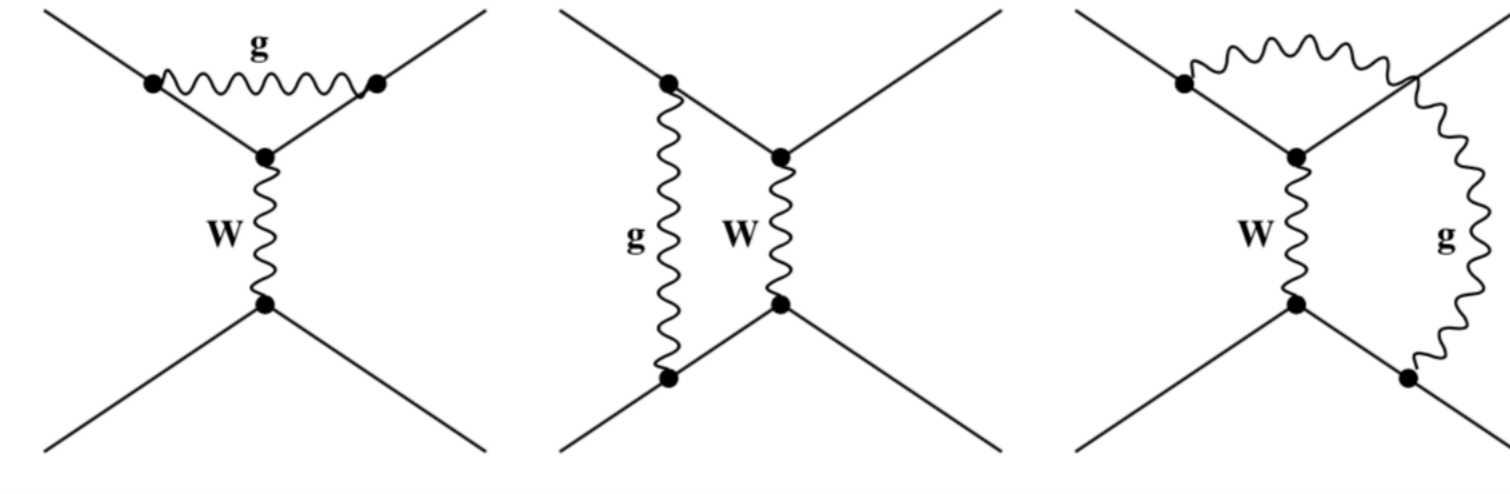
$$\tau_b = 2.60 \text{ ps} \quad \text{for } \bar{m}_c(\bar{m}_b), \bar{m}_b(\bar{m}_b) .$$

b -hadron species	average lifetime	lifetime ratio
B^0	$1.518 \pm 0.004 \text{ ps}$	
B^+	$1.638 \pm 0.004 \text{ ps}$	$B^+/B^0 = 1.076 \pm 0.004$
B_s^0	$1.509 \pm 0.004 \text{ ps}$	$B_s^0/B^0 = 0.994 \pm 0.004$

This is not satisfactory!

OPE 2: PROBLEM

Calculating QCD corrections in the full Standard Model



we find an expansion in $\alpha_s \ln\left(\frac{m_b^2}{M_W^2}\right) \approx 6\alpha_s$ approx 1.2 and not in α_s !

Tree	1	—	—	—
1-loop	$\alpha_s \ln$	α_s	—	—
2-Loop	$\alpha_s^2 \ln^2$	$\alpha_s^2 \ln$	α_s^2	—
3-loop	$\alpha_s^3 \ln^3$	$\alpha_s^3 \ln^2$	$\alpha_s^3 \ln$	α_s^3

Series does not converge at all!

OPE 3: SOLUTION

Formal definition:

The most important tool in the analysis of operator products in quantum field theory is Wilson's *operator product expansion* (OPE) [5], which states that any product of local quantum fields can be expanded as

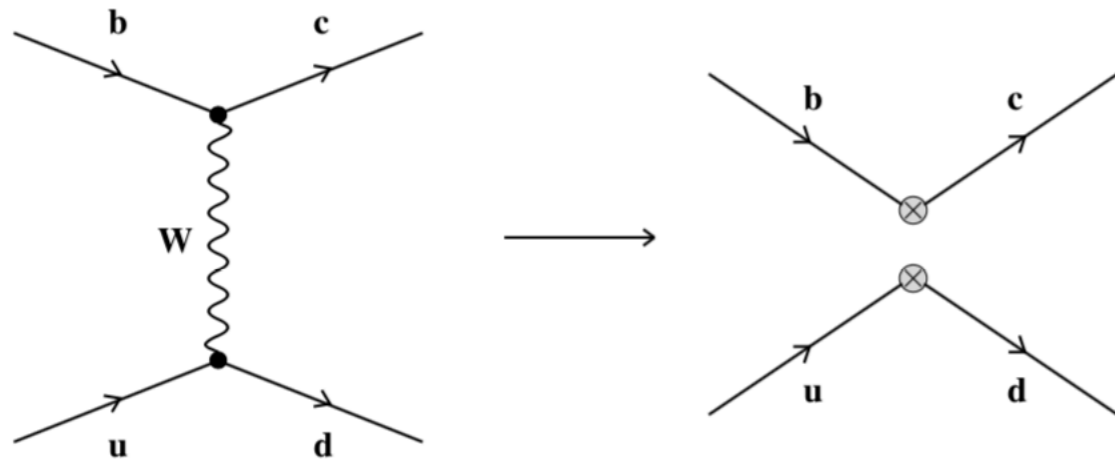
$$\mathcal{O}_{A_1}(x_1) \cdots \mathcal{O}_{A_N}(x_N) \sim \sum_B \mathcal{C}_{A_1 \dots A_N}^B(x_1, \dots, x_N) \mathcal{O}_B(x_N). \quad (1.0.1)$$

Here the symbols \mathcal{O}_A denote the composite fields that appear in the given theory, where the label A also incorporates the tensor or spinor character of the field. The so called OPE coefficients, $\mathcal{C}_{A_1 \dots A_N}^B$, are distributions with singularities on the diagonals $x_i = x_j$

probably not too helpful

OPE 4: SOLUTION

Real life definition: integrate out the heavy particles



l.h.s.

Operator 1 = bc quark current

Operator 2 = ud quark current

r.h.s.

Wilson coefficient = Fermi constant
4 quark operator

$$\frac{ig_2 V_{cb}^*}{2\sqrt{2}} \frac{1}{k^2 - M_W^2} \frac{ig_2 V_{ud}}{2\sqrt{2}} \approx \left(\frac{g_2}{2\sqrt{2}}\right)^2 \frac{1}{M_W^2} V_{CKM} =: \frac{G_F}{\sqrt{2}} V_{CKM}$$

=> Effective Hamiltonian

$$\mathcal{H}_{eff} = \frac{G_F}{\sqrt{2}} \left[\sum_{q=u,c} V_c^q (C_1 Q_1^q + C_2 Q_2^q) - V_p \sum_{j=3}^6 C_j Q_j \right]$$

$$Q_2 = (\bar{c}_\alpha \gamma_\mu (1 - \gamma_5) b_\alpha) \times (\bar{d}_\beta \gamma^\mu (1 - \gamma_5) u_\beta) ;$$

$$=: (\bar{c}_\alpha b_\alpha)_{V-A} \times (\bar{d}_\beta u_\beta)_{V-A} ,$$

hopefully helpful

OPE 5: SOLUTION

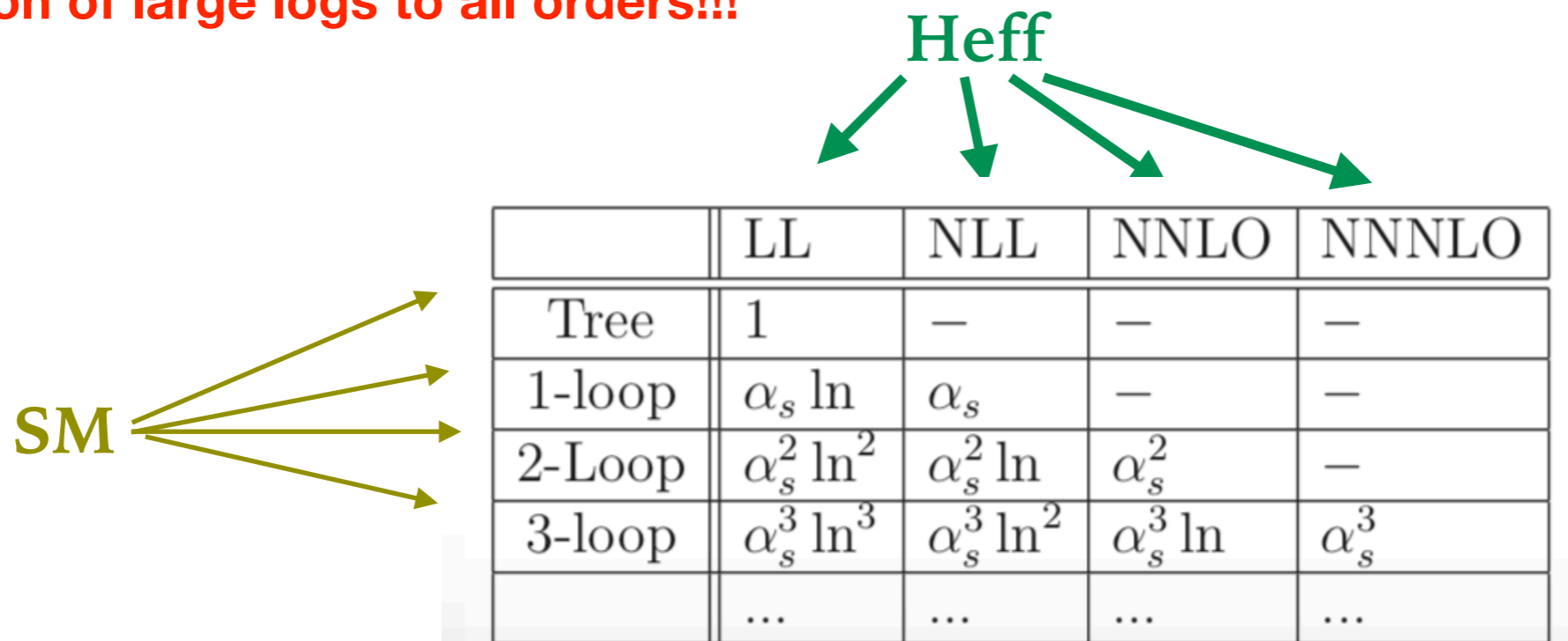
=> Effective Hamiltonian

$$\mathcal{H}_{eff} = \frac{G_F}{\sqrt{2}} \left[\sum_{q=u,c} V_c^q (C_1 Q_1^q + C_2 Q_2^q) - V_p \sum_{j=3}^6 C_j Q_j \right].$$

No QCD corrections: $C_1 = 0, C_2 = 1$

With QCD corrections and Renormalisation Group Evolution:

1. Numerical correction: $C_1 = -0.2, C_2 = 1.1$
2. **Separation of scales:** short distance in Wilson coefficients (e.g. M_W , but also BSM)
long distance in matrix elements of operators
3. **Summation of large logs to all orders!!!**



hopefully helpful

OPE 6: PHENOMENOLOGY

The effective Hamiltonian

$$\mathcal{H}_{eff} = \frac{G_F}{\sqrt{2}} \left[\sum_{q=u,c} V_c^q (C_1 Q_1^q + C_2 Q_2^q) - V_p \sum_{j=3}^6 C_j Q_j \right].$$

is used for calculating observables

1. Total decay rates = 1/lifetime - Heavy Quark Expansion (HQE)
2. Mixing observables: decay rate difference (HQE)
3. Rare decays: $b \Rightarrow s$ gamma (C_7), B to K(*) mu mu (C_9); Bs to mu mu (C_10)



HEAVY QUARK EXPANSION

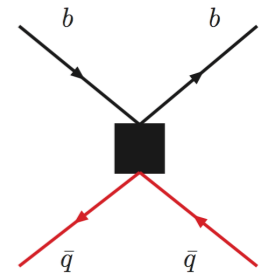
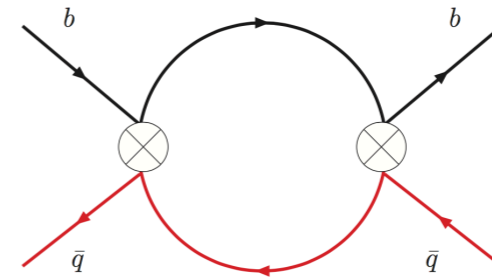
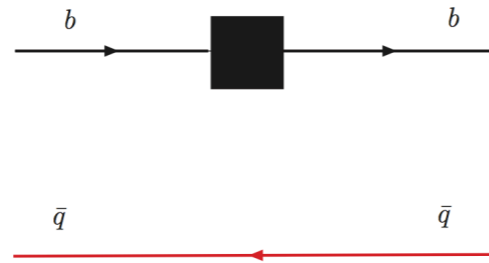
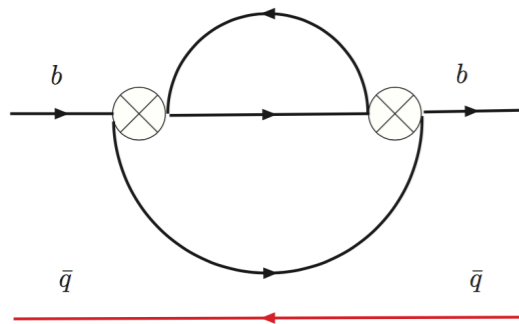
2. Total decay rates - lifetimes

HEAVY QUARK EXPANSION - LIFETIMES

$$\Gamma(B \rightarrow X) = \frac{1}{2m_B} \sum_X \int_{\text{PS}} (2\pi)^4 \delta^{(4)}(p_B - p_X) |\langle X | \mathcal{H}_{eff} | B \rangle|^2$$

- Assume:**
- mb is large compared to hadronic scale
 - decay rate is a Taylor series in $1/m_b$

$$\Gamma = \frac{G_F^2 m_b^5 |V_{cb}|^2}{192\pi^3} \left[c_{3,b} \frac{\langle B | \bar{b}b | B \rangle}{2M_B} + \frac{c_{5,b}}{m_b^2} \frac{\langle B | \bar{b}g_s \sigma_{\mu\nu} G^{\mu\nu} b | B \rangle}{2M_B} + \frac{c_{6,b}}{m_b^3} \frac{\langle B | (\bar{b}q)_\Gamma (\bar{q}b)_\Gamma | B \rangle}{M_B} + \dots \right]$$



- Remarks:**
- leading term (=free quark decay) is universal
 - different B mesons differ from the 3rd term on
 - lifetime predictions need: non-perturbative matrix elements and perturbative Wilson coefficients

HEAVY QUARK EXPANSION

Total decay rate can be expanded in inverse powers of m_b

$$\Gamma = \Gamma_0 + \frac{\Lambda^2}{m_b^2} \Gamma_2 + \frac{\Lambda^3}{m_b^3} \Gamma_3 + \frac{\Lambda^4}{m_b^4} \Gamma_4 + \dots$$

Each term in the series can be further expanded in the strong coupling

$$\Gamma_j = \Gamma_j^{(0)} + \frac{\alpha_s(\mu)}{4\pi} \Gamma_j^{(1)} + \frac{\alpha_s^2(\mu)}{(4\pi)^2} \Gamma_j^{(2)} + \dots$$

Each term is a product of a perturbative function and the matrix element of **Delta B = 0 operators** (**lattice , sum rules**)

Mixing obeys a similar HQE

$$\Gamma_{12}^q = \left(\frac{\Lambda}{m_b}\right)^3 \Gamma_3 + \left(\frac{\Lambda}{m_b}\right)^4 \Gamma_4 + \dots$$

Now **Delta B = 2 operators** appear (**lattice , sum rules**)

STATUS BEFORE 2017

	$\Gamma_3^{(0)}$	$\Gamma_3^{(1)}$	$\Gamma_3^{(2)}$ < dim 6 >	$\Gamma_4^{(0)}$	$\Gamma_4^{(1)}$ < dim 7 >		
B+	1985 -1996 ✓	2002 ✓	✗	2001 ✗	2003 ✓	✗	✗
Bs	1985 -1996 ✓	2002 ✓	✗	2001 ✗	2003 ✓	✗	✗
G12s	1985 -1996 ✓	1998 -2006 ✓	✗	-2016 ✗	1996 ✓	✗	✗
G12d	1985 -1996 ✓	2003 -2006 ✓	✗	-2016 ✗	2003 ✓	✗	✗

STATUS BEFORE 2017

$$\frac{\tau(B^+)}{\tau(B_d)}^{\text{HQE 2014}} = 1.04^{+0.07}_{-0.03},$$

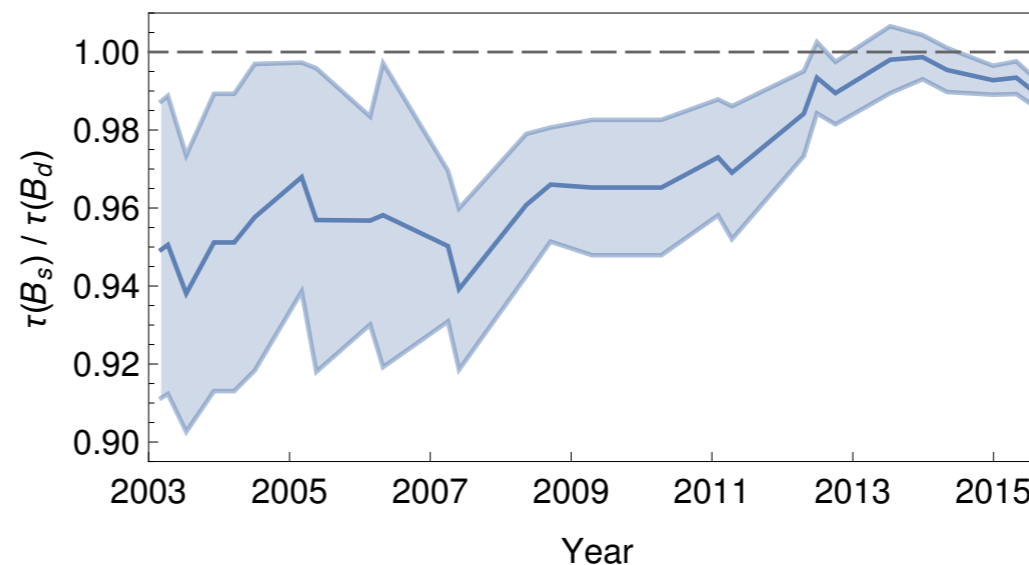
*** Large uncertainties due to outdated non-perturbative input**

$$\frac{\tau(B_s)}{\tau(B_d)}^{\text{HQE 2014}} = 1.001 \pm 0.002,$$

*** Perfect cancellation in Bs lifetime - test of NP models**

$$\frac{\tau(\Lambda_b)}{\tau(B_d)}^{\text{HQE 2014}} = 0.935 \pm 0.054,$$

$$\frac{\bar{\tau}(\Xi_b^0)}{\bar{\tau}(\Xi_b^+)}^{\text{HQE 2014}} = 0.95 \pm 0.06.$$



*see e.g.
Jäger et al
1701.091883*

Observable	SM – conservative	SM – aggressive	Experiment
ΔM_s	$(18.3 \pm 2.7) \text{ ps}^{-1}$	$(20.11 \pm 1.37) \text{ ps}^{-1}$	$(17.757 \pm 0.021) \text{ ps}^{-1}$
$\Delta \Gamma_s$	$(0.088 \pm 0.020) \text{ ps}^{-1}$	$(0.098 \pm 0.014) \text{ ps}^{-1}$	$(0.082 \pm 0.006) \text{ ps}^{-1}$
a_{sl}^S	$(2.22 \pm 0.27) \cdot 10^{-5}$	$(2.27 \pm 0.25) \cdot 10^{-5}$	$(-7.5 \pm 4.1) \cdot 10^{-3}$

Ideal for NP searches - experimental precision $>$ theory precision!

NEWS

	$\Gamma_3^{(0)}$	$\Gamma_3^{(1)}$	$\Gamma_3^{(2)}$ < dim 6 >	$\Gamma_4^{(0)}$	$\Gamma_4^{(1)}$ < dim 7 >
B+	1985 ✓ -1996	2002 ✓	✗	2001 ✗ 2003 ✓	✗
Bs	1985 ✓ -1996	2002 ✓	✗	2001 ✗ 2003 ✓	✗
G12s	1985 ✓ -1996	1998 ✓ -2006	✗	-2016 ✗	1996 ✓ ✗
G12d	1985 ✓ -1996	2003 ✓ -2006	✗	-2016 ✗	2003 ✓ ✗

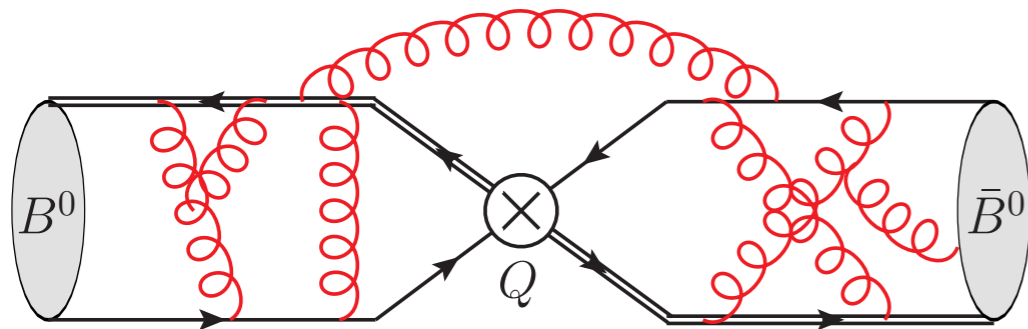
First steps: Asatrian et al 1709.02160

Sum rules: Kirk, Lenz, Rauh 1711.02100

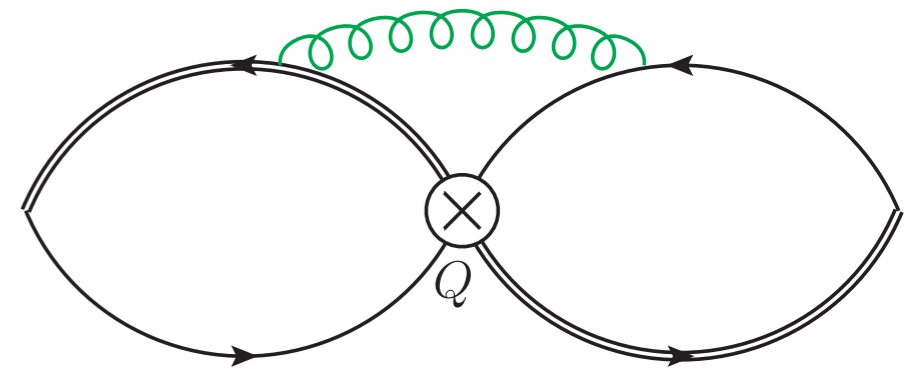
HPQCD in progress, see LATTICE 2016, 2017

Sum rules: Kirk, Lenz, Rauh in progress

HQET SUM RULES



Sum rule
 \longleftrightarrow
 Quark-hadron duality
 Analyticity



Hadronic matrix element

Characteristic scale: Λ_{QCD}

$$\alpha_s(\Lambda_{\text{QCD}}) \sim \mathcal{O}(1)$$

\Rightarrow non-perturbative

Correlation function

Characteristic scale: 'virtuality' ω

Choose ω s.t. $\alpha_s(\omega) \ll 1$

\Rightarrow perturbatively calculable

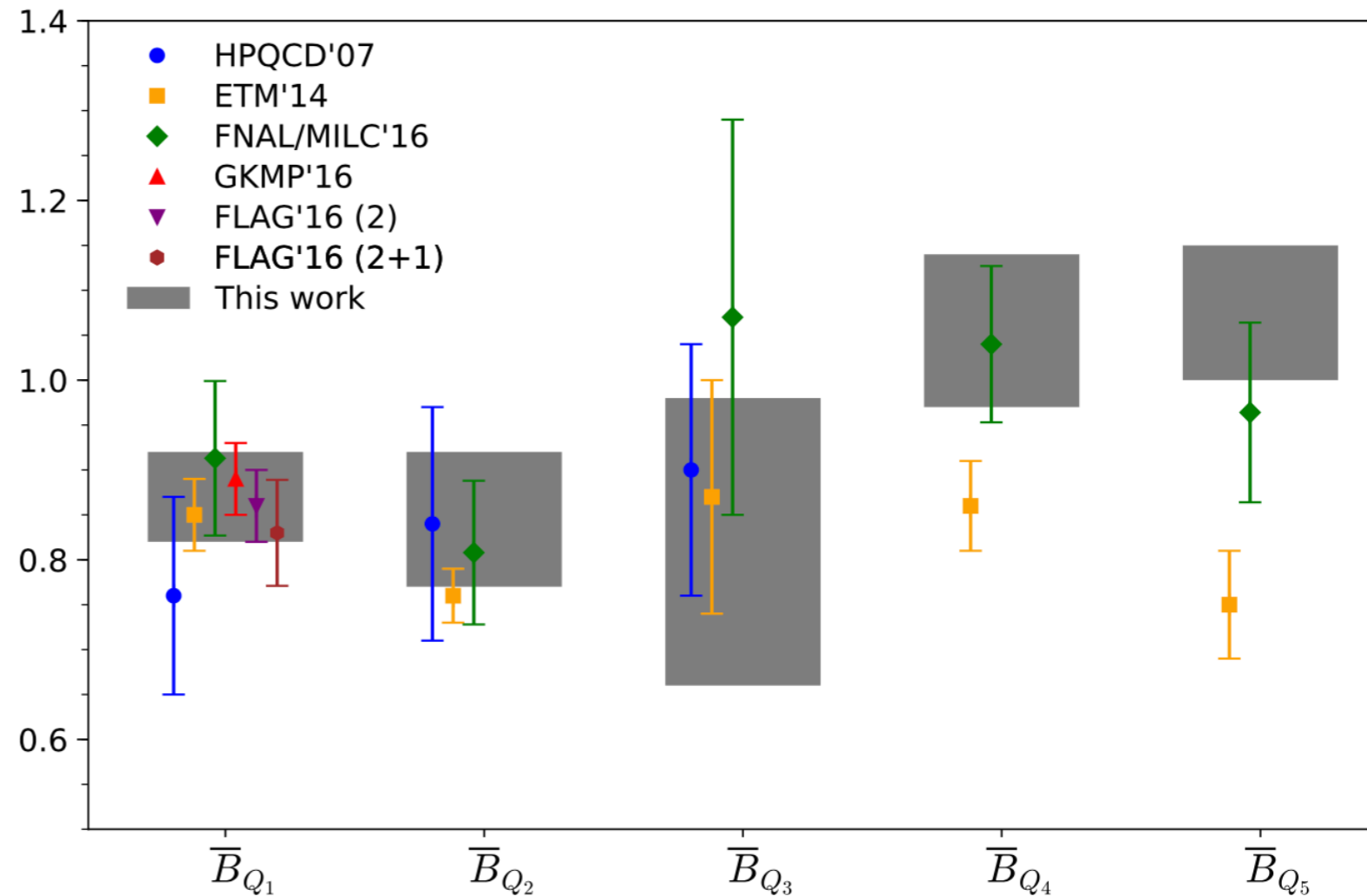
- Do all dim 6 and dim 7 operators for mixing **AND lifetimes**
- 3 loop diagrams with FIRE reduced (2 external momenta)
- Master integrals known: [Grozin, Lee; hep-ph/0812.4522](#)
- HQET running to scale m_b
- HQET-QCD matching at scale m_b

**1 mixing operator Q done by
 Grozin, Klein, Mannel, Pivovarov
 hep-ph/1606.06054**

**all Delta B=0 and 2 dim 6 operators by
 Kirk, Lenz, Rauh; 1711.02100**

NEW RESULTS 1: B-MIXING

Kirk, Lenz, Rauh 1711.02100



- Nice agreement with lattice
- Comparable uncertainties as lattice -> halving error feasible!

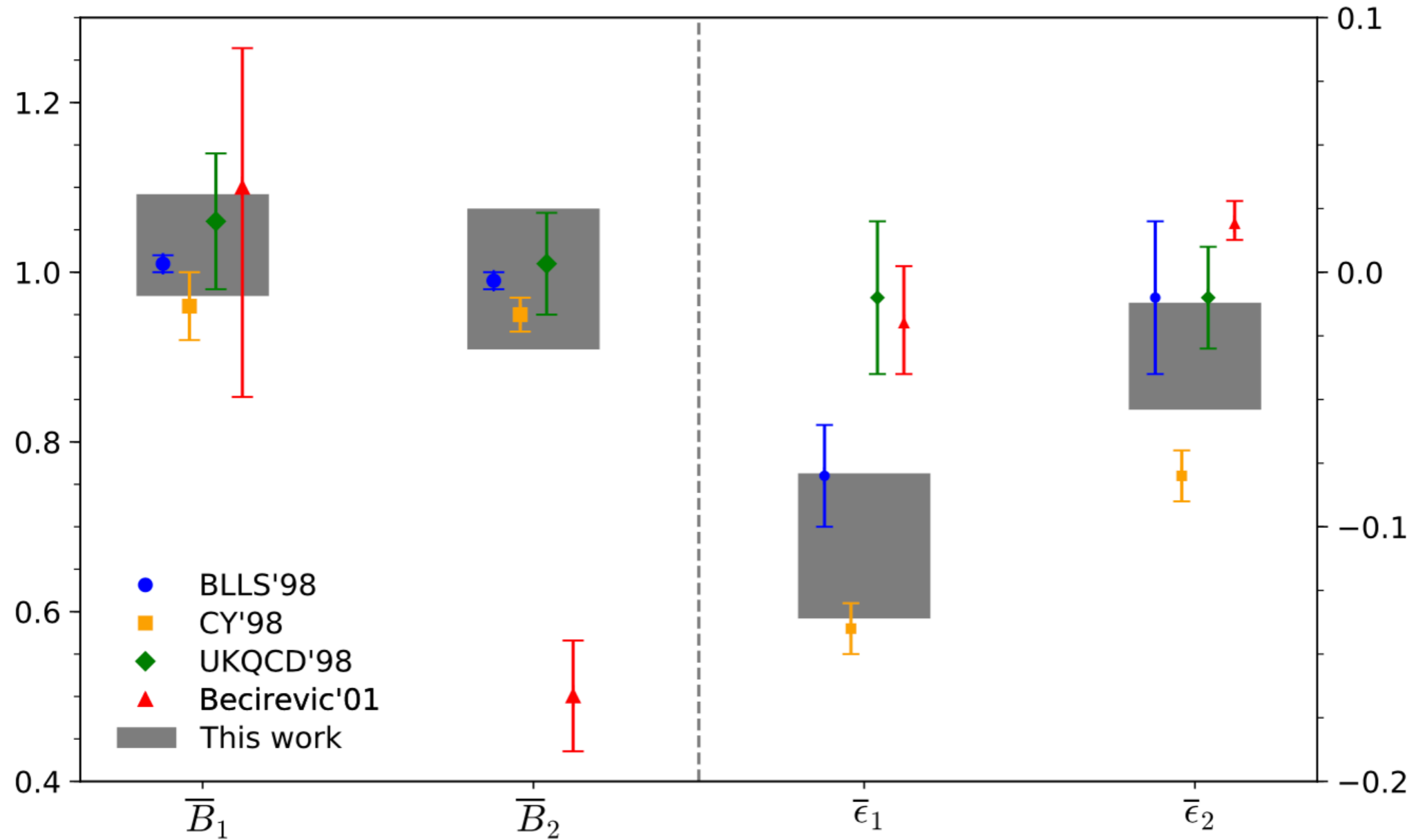
1806.00253 Grozin, Mannel, Pivovarov

Current status:

- B1: SR might agree or might be lower than large FNAL/MILC
- B4/B4: SR seem to prefer FNAL/MILC

Independent lattice determination urgently needed as well as higher precision in SR AND SR determination of Bs mesons

NEW RESULTS 2: B LIFETIMES



Kirk, Lenz, Rauh 1711.02100

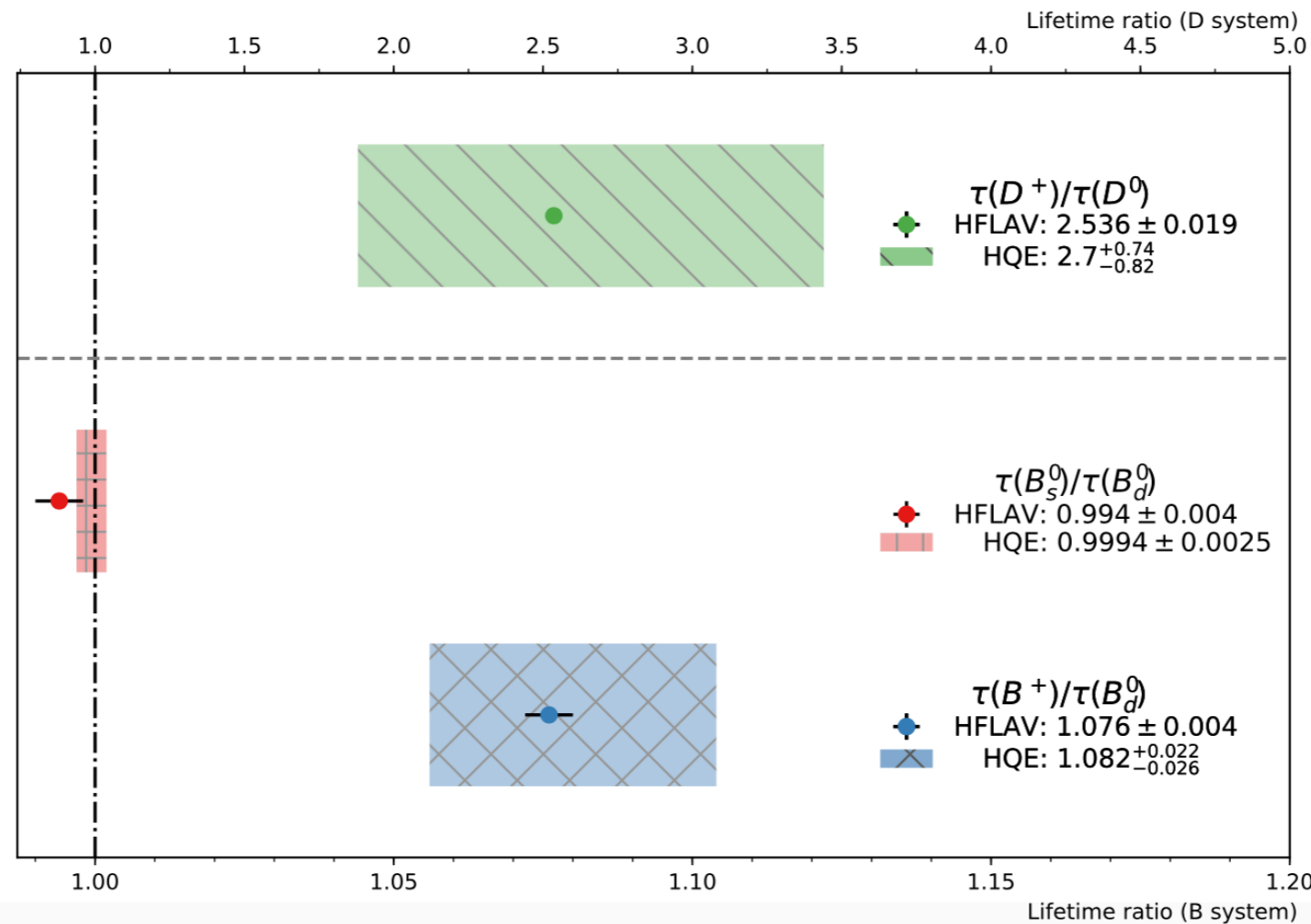
- Only modern determination - else: 2001
- Independent confirmation from lattice urgently needed!!!

FINAL RESULTS: LIFETIMES

.....

Uncertainty can be considerably reduced: NNLO Matching + dim 7

Question of HQE convergence is not a question of beliefs, but can be systematically studied!



Kirk, Lenz, Rauh

1711.02100

- **HQE works even for D lifetimes! (roughly 30% precision)**

$$\frac{\tau(D^+)}{\tau(D^0)} = 2.7 = 1 + 16\pi^2 (0.25)^3 (1 - 0.34)$$

- **B+ and Bs lifetime ratios agree perfectly with experiment**
- **Confirmation from lattice urgently needed**
- **Study more charmed hadrons**

HEAVY QUARK EXPANSION

B mixing - decay rate differences

HOMWORK

Diagonalise the matrix:

$$\begin{pmatrix} M_{11} - \frac{i}{2} \Gamma_{11} & M_{12} - \frac{i}{2} \Gamma_{12} \\ M_{12}^* - \frac{i}{2} \Gamma_{12}^* & M_{11} - \frac{i}{2} \Gamma_{11} \end{pmatrix}$$

1) What are the eigenvalues in the case

$$\Gamma_{12} \ll M_{12} \quad ?$$

2) What are the eigenvalues in the general case?

Fundamentals of B-mixing 1

Mixing = Mass eigenstate differs from interaction eigenstate

$$V_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

- Quarks: CKM matrix
- Leptons: PMNS matrix
- Neutrinos: oscillations
- Electro-weak gauge bosons
- Neutral mesons

$$\begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix} \quad \begin{aligned} \nu_1(t) &= \nu_1(0) \cdot e^{im_1 t} \\ \nu_2(t) &= \nu_2(0) \cdot e^{im_2 t} \end{aligned}$$

1955 K^0 -system

Rainer Wanke
(Mainz):
Kaon physics

1986 B_d -system

Stephanie Hansmann-
Menzemer
(Heidelberg):
Introduction to Flavour
Physics

2006/12 B_s -system:

2007/12 D^0 -system

Eva Gersabeck
(Manchester):
Charm mixing & CPV

$$\begin{pmatrix} W^+ \\ W^- \end{pmatrix} = \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{i}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & -\frac{i}{\sqrt{2}} \end{pmatrix} \begin{pmatrix} W^1 \\ W^2 \end{pmatrix},$$

$$\begin{pmatrix} A^\mu \\ Z^\mu \end{pmatrix} = \begin{pmatrix} \cos \theta_w & \sin \theta_w \\ -\sin \theta_w & \cos \theta_w \end{pmatrix} \begin{pmatrix} B^\mu \\ W^{3\mu} \end{pmatrix},$$

Fundamentals of B-mixing 2

Neutral mesons like B_d^0 and their anti particles \bar{B}_d^0 form a two state system, which can be described with a Schrödinger like equation

$$i\hbar \frac{\partial}{\partial t} \begin{pmatrix} B_d^0 \\ \bar{B}_d^0 \end{pmatrix} = \hat{H} \begin{pmatrix} B_d^0 \\ \bar{B}_d^0 \end{pmatrix} = \begin{pmatrix} M_{11}^d - \frac{i}{2}\Gamma_{11}^d & 0 \\ 0 & M_{22}^d - \frac{i}{2}\Gamma_{22}^d \end{pmatrix} \begin{pmatrix} B_d^0 \\ \bar{B}_d^0 \end{pmatrix}. \quad (265)$$

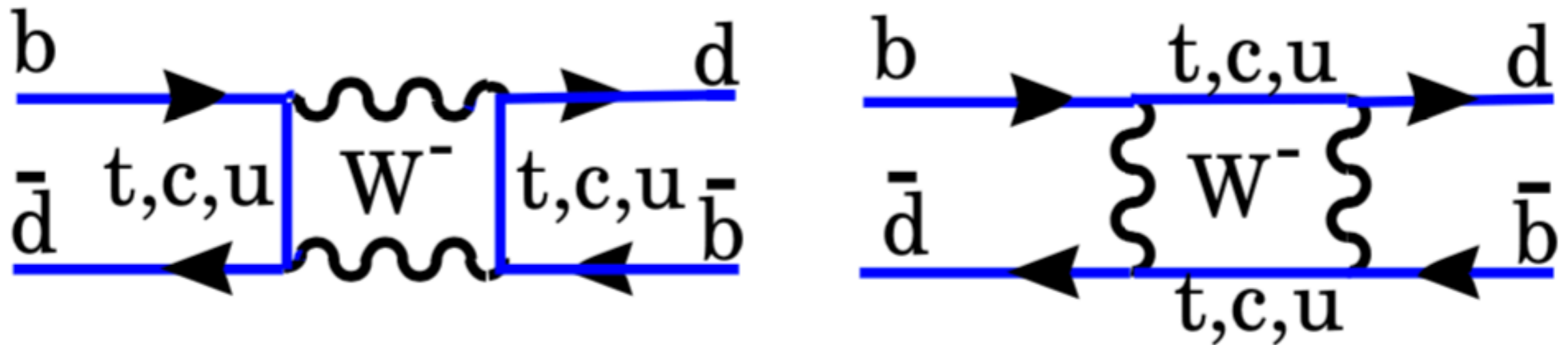
This is equivalent to the following time evolution of B mesons:

$$\Rightarrow B_i(t) = e^{\frac{1}{i\hbar}(M_{ii}^d - \frac{i}{2}\Gamma_{ii}^d)t} = e^{\frac{1}{i\hbar}M_{ii}^d t} e^{-\frac{1}{2\hbar}\Gamma_{ii}^d t}. \quad (266)$$

- M_{11}^d (M_{22}^d) is the mass of the B_d^0 (\bar{B}_d^0)-meson.
- Γ_{11}^d (Γ_{22}^d) is the decay rate of the B_d^0 (\bar{B}_d^0)-meson.
- CPT invariance implies $M_{11}^d = M_{22}^d$ and $\Gamma_{11}^d = \Gamma_{22}^d$.

Fundamentals of B-mixing 3

Due to the weak interaction, however, transitions of a B_d^0 -meson to a \bar{B}_d^0 (and vice versa) are possible via the so-called **box diagrams**.



The box diagrams lead to off-diagonal terms in the Hamiltonian

$$\hat{H} = \begin{pmatrix} M_{11}^d - \frac{i}{2}\Gamma_{11}^d & M_{12}^d - \frac{i}{2}\Gamma_{12}^d \\ M_{21}^d - \frac{i}{2}\Gamma_{21}^d & M_{22}^d - \frac{i}{2}\Gamma_{22}^d \end{pmatrix}.$$

off-shell, like top, W
but also up and charm

on-shell, like up, charm

Fundamentals of B-mixing 4

Diagonalise the 2x2 matrix

$$\begin{aligned} B_{d,H} &= pB_d^0 - q\bar{B}_d^0, \\ B_{d,L} &= pB_d^0 + q\bar{B}_d^0, \end{aligned}$$

Mass eigenstates

Interaction eigenstates

$$(\Delta M_d)^2 - \frac{1}{4}(\Delta\Gamma_d)^2 = 4|M_{12}^d|^2 - |\Gamma_{12}^d|^2,$$

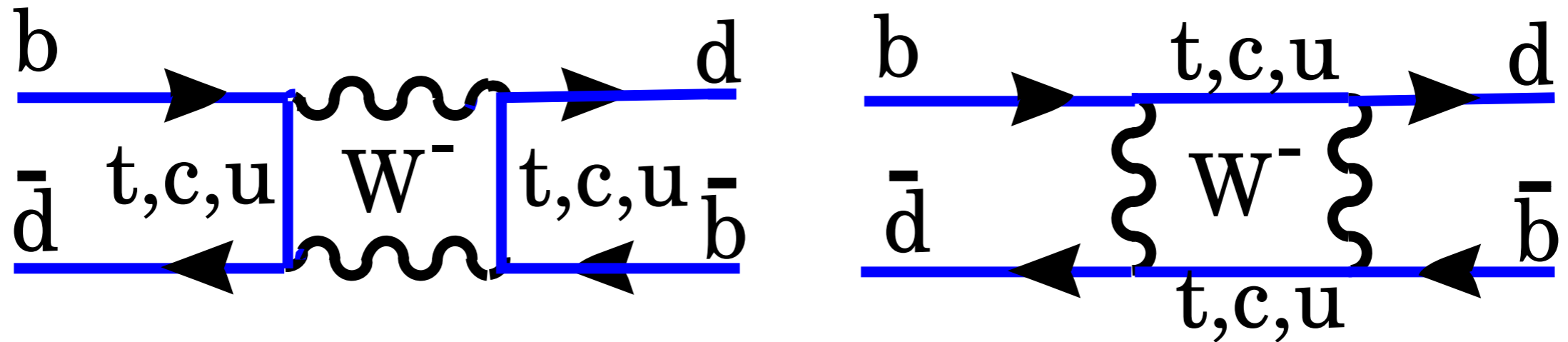
$$\Delta M_d \cdot \Delta\Gamma_d = -4\text{Re}(M_{12}^d \Gamma_{12}^{d*}),$$

$$\frac{q}{p} = -\frac{\Delta M_d + \frac{i}{2}\Delta\Gamma_d}{2M_{12}^d - i\Gamma_{12}^d}.$$

with

$$\begin{aligned} \Delta\Gamma_d &= \Gamma_L^d - \Gamma_H^d = \Delta\Gamma_d(M_{12}^d, \Gamma_{12}^d), \\ \Delta M_d &= M_H^d - M_L^d = \Delta M_d(M_{12}^d, \Gamma_{12}^d), \end{aligned}$$

Fundamentals of B-mixing 5



$|M_{12}|$, $|\Gamma_{12}|$ and $\phi = \arg(-M_{12}/\Gamma_{12})$ can be related to three observables:

- **Mass difference:** $\Delta M := M_H - M_L \approx 2|M_{12}|$ (off-shell)
 $|M_{12}|$: heavy internal particles: t, SUSY, ...
- **Decay rate difference:** $\Delta\Gamma := \Gamma_L - \Gamma_H \approx 2|\Gamma_{12}| \cos \phi$ (on-shell)
 $|\Gamma_{12}|$: light internal particles: u, c, ... (almost) no NP!!!
- **Flavor specific/semi-leptonic CP asymmetries:** e.g. $B_q \rightarrow Xl\nu$ (semi-leptonic)

$$a_{sl} \equiv a_{fs} = \frac{\Gamma(\bar{B}_q(t) \rightarrow f) - \Gamma(B_q(t) \rightarrow \bar{f})}{\Gamma(\bar{B}_q(t) \rightarrow f) + \Gamma(B_q(t) \rightarrow \bar{f})} = \left| \frac{\Gamma_{12}}{M_{12}} \right| \sin \phi$$

Fundamentals of B-mixing 6

Oscillations of B mesons

see e.g.

B physics at the Tevatron: Run II and beyond

K. Anikeev (MIT) *et al.*. Dec 2001. 583 pp.

e-Print: [hep-ph/0201071](#) | [PDF](#)

[Cited by 378 records](#)

Mass eigenstates have obvious time evolution

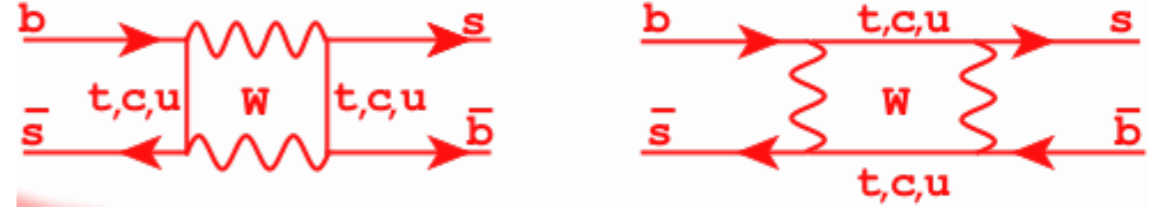
$$|B_{d,H/L}(t)\rangle = e^{-\left(iM_{H/L}^d + \Gamma_{H/L}^d/2\right)t} |B_{d,H/L}(0)\rangle .$$

Transform to interaction eigenstates

$$|B_d^0(t)\rangle = g_+(t)|B_d^0\rangle + \frac{q}{p}g_-(t)|\bar{B}_d^0\rangle ,$$

$$|\bar{B}_d^0(t)\rangle = \frac{p}{q}g_-(t)|B_d^0\rangle + g_+(t)|\bar{B}_d^0\rangle ,$$

Fundamentals of B-mixing 7



First we look at all the diagrams contributing to M_{12}^d . For each topology we get nine contributions

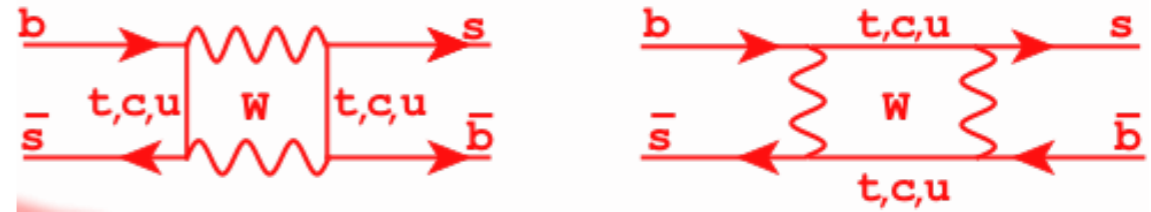
$$\begin{aligned}
 M_{12}^d = & \lambda_u^2 F(u, u) + \lambda_u \lambda_c F(u, c) + \lambda_u \lambda_t F(u, t) + \\
 & \lambda_c \lambda_u F(c, u) + \lambda_c^2 F(c, c) + \lambda_c \lambda_t F(c, t) + \\
 & \lambda_t \lambda_u F(t, u) + \lambda_t \lambda_c F(t, c) + \lambda_t^2 F(t, t)
 \end{aligned} \tag{295}$$

with the CKM structures $\lambda_q = V_{qd}^* V_{qb}$.

Next we can use unitarity of the CKM matrix ($\lambda_u + \lambda_c + \lambda_t = 0$) to eliminate λ_c .

$$\begin{aligned}
 M_{12}^d = & \lambda_u^2 (F(c, c) - 2F(u, c) + F(u, u)) \\
 & + 2\lambda_u \lambda_t (F(u, t) - F(c, t) - F(u, c) + F(c, c)) \\
 & + \lambda_t^2 (F(t, t) - 2F(c, t) + F(c, c))
 \end{aligned} \tag{296}$$

Fundamentals of B-mixing 8



- CKM elements

$$\begin{aligned} \lambda_u^2 &\propto \lambda^{6(7.512)}, \\ \lambda_u \lambda_t &\propto \lambda^{6(6.756)}, \\ \lambda_t^2 &\propto \lambda^6, \end{aligned}$$

- Loop functions

$$F(p, q) = f_0 + f(m_q, m_p)$$

1. Constant term f_0 cancel
2. If internal quarks have equal masses, then M_{12} vanishes

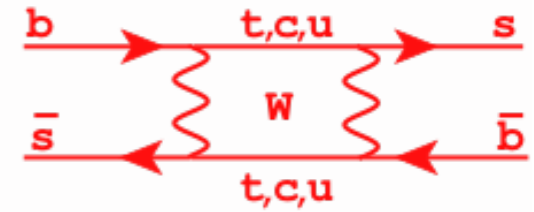
GIM cancellation

3. f depends strongly on $(m_q/M_W)^2$

$$\frac{0.0000\dots m_u^2}{M_W^2} \approx 0 \approx \frac{0.0003 m_c^2}{M_W^2} \ll \frac{4.5 m_t^2}{M_W^2}$$

Charm system: $\frac{0.0000\dots m_d^2}{M_W^2}, \frac{0.0000\dots m_s^2}{M_W^2}, \frac{0.003 m_b^2}{M_W^2}$ are all small !

Fundamentals of B-mixing 8



$$\begin{aligned}
 M_{12}^d &= \lambda_u^2 (F(c, c) - 2F(u, c) + F(u, u)) \\
 &\quad + 2\lambda_u\lambda_t (F(u, t) - F(c, t) - F(u, c) + F(c, c)) \\
 &\quad + \lambda_t^2 (F(t, t) - 2F(c, t) + F(c, c)) \\
 &\propto \lambda_t^2 S(m_t^2/M_W^2) ;
 \end{aligned}$$

GIM

Inami-Lim function

$$S(x) = \frac{4x - 11x^2 + x^3}{4(1-x)^2} - \frac{3x \ln x}{2(1-x)^2} .$$

In the Bs system an additional CKM suppression

$$\begin{aligned}
 \lambda_u^2 &\propto \lambda^{8(9.512)} , \\
 \lambda_u\lambda_t &\propto \lambda^{6(6.756)} , \\
 \lambda_t^2 &\propto \lambda^4 ,
 \end{aligned}$$

**In the charm system
the internal b-quark
is CKM suppressed,
compared to
down and strange**

Fundamentals of B-mixing 9

$$M_{12}^s = \frac{G_F^2}{12\pi^2} \lambda_t^2 M_W^2 S_0(x_t) B f_{B_s}^2 M_{B_s^0} \hat{\eta}_B,$$

CKM elements

2 loop QCD corrections

Weak coupling,
W propagator

Inami-Lim
function

non-perturbative
matrix elements

via lattice or sum rules

$$\langle Q \rangle \equiv \langle \bar{B}_s^0 | Q | B_s^0 \rangle = \frac{8}{3} M_{B_s^0}^2 f_{B_s}^2 B(\mu).$$

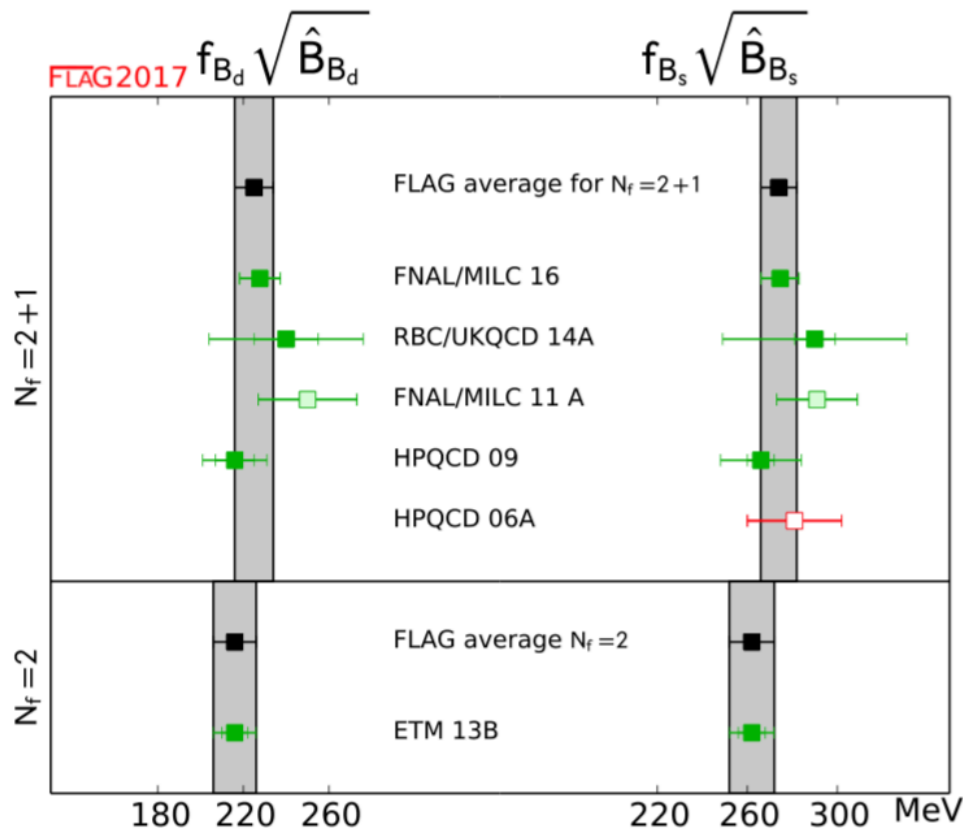
Francesco Knechtli
(Wuppertal):
Lattice QCD

$$Q = \bar{s}^\alpha \gamma_\mu (1 - \gamma_5) b^\alpha \times \bar{s}^\beta \gamma^\mu (1 - \gamma_5) b^\beta.$$

Fundamentals of B-mixing 10

$$\Delta M_s^{\text{Exp}} = (17.757 \pm 0.021) \text{ ps}^{-1}$$

SM error dominated by non-perturbative parameter



Source	$f_{B_s} \sqrt{\hat{B}}$	ΔM_s^{SM}
HPQCD14 [128]	$(247 \pm 12) \text{ MeV}$	$(16.2 \pm 1.7) \text{ ps}^{-1}$
ETMC13 [129]	$(262 \pm 10) \text{ MeV}$	$(18.3 \pm 1.5) \text{ ps}^{-1}$
HPQCD09 [130] = FLAG13 [131]	$(266 \pm 18) \text{ MeV}$	$(18.9 \pm 2.6) \text{ ps}^{-1}$
FLAG17 [69]	$(274 \pm 8) \text{ MeV}$	$(20.01 \pm 1.25) \text{ ps}^{-1}$
Fermilab16 [71]	$(274.6 \pm 8.8) \text{ MeV}$	$(20.1 \pm 1.5) \text{ ps}^{-1}$
HQET-SR [76, 132]	$(278_{-24}^{+28}) \text{ MeV}$	$(20.6_{-3.4}^{+4.4}) \text{ ps}^{-1}$
HPQCD06 [133]	$(281 \pm 20) \text{ MeV}$	$(21.0 \pm 3.0) \text{ ps}^{-1}$
RBC/UKQCD14 [134]	$(290 \pm 20) \text{ MeV}$	$(22.4 \pm 3.4) \text{ ps}^{-1}$
Fermilab11 [135]	$(291 \pm 18) \text{ MeV}$	$(22.6 \pm 2.8) \text{ ps}^{-1}$

HEAVY QUARK EXPANSION

Total decay rate can be expanded in inverse powers of m_b

$$\Gamma = \Gamma_0 + \frac{\Lambda^2}{m_b^2} \Gamma_2 + \frac{\Lambda^3}{m_b^3} \Gamma_3 + \frac{\Lambda^4}{m_b^4} \Gamma_4 + \dots$$

Each term in the series can be further expanded in the strong coupling

$$\Gamma_j = \Gamma_j^{(0)} + \frac{\alpha_s(\mu)}{4\pi} \Gamma_j^{(1)} + \frac{\alpha_s^2(\mu)}{(4\pi)^2} \Gamma_j^{(2)} + \dots$$

Each term is a product of a perturbative function and the matrix element of **Delta B = 0 operators (lattice , sum rules)**

Mixing obeys a similar HQE

$$\Gamma_{12}^q = \left(\frac{\Lambda}{m_b}\right)^3 \Gamma_3 + \left(\frac{\Lambda}{m_b}\right)^4 \Gamma_4 + \dots$$

Now **Delta B = 2 operators appear (lattice , sum rules)**

STATUS BEFORE 2017

	$\Gamma_3^{(0)}$	$\Gamma_3^{(1)}$	$\Gamma_3^{(2)}$ < dim 6 >	$\Gamma_4^{(0)}$	$\Gamma_4^{(1)}$ < dim 7 >		
B+	1985 -1996 ✓	2002 ✓	✗	2001 ✗	2003 ✓	✗	✗
Bs	1985 -1996 ✓	2002 ✓	✗	2001 ✗	2003 ✓	✗	✗
G12s	1985 -1996 ✓	1998 -2006 ✓	✗	-2016 ✗	1996 ✓	✗	✗
G12d	1985 -1996 ✓	2003 -2006 ✓	✗	-2016 ✗	2003 ✓	✗	✗

THEORY UNCERTAINTIES IN MIXING

3 dominant uncertainties:

$\Delta\Gamma_s^{\text{SM}}$	This work
Central value	0.088 ps ⁻¹
$\delta(B_{\tilde{R}_2})$	14.8%
$\delta(f_{B_s}\sqrt{B})$	13.9%
$\delta(\mu)$	8.4%
$\delta(V_{cb})$	4.9%
$\delta(\tilde{B}_S)$	2.1%
$\delta(B_{R_0})$	2.1%
$\delta(\bar{z})$	1.1%
$\delta(m_b)$	0.8%
$\delta(B_{\tilde{R}_1})$	0.7%
$\delta(B_{\tilde{R}_3})$	0.6%
$\delta(B_{R_1})$	0.5%
$\delta(B_{R_3})$	0.2%
$\delta(m_s)$	0.1%
$\delta(\gamma)$	0.1%
$\delta(\alpha_s)$	0.1%
$\delta(V_{ub}/V_{cb})$	0.1%
$\delta(\bar{m}_t(\bar{m}_t))$	0.0%
$\sum \delta$	22.8%

★ $\langle R_2 \rangle = -\frac{2}{3} \left[\frac{M_{B_s}^2}{m_b^{\text{pow}2}} - 1 \right] M_{B_s}^2 f_{B_s}^2 B_{R_2}$ $R_2 = \frac{1}{m_b^2} \bar{s}_\alpha \overleftarrow{D}_\rho \gamma^\mu (1 - \gamma_5) D^\rho b_\alpha \bar{s}_\beta \gamma_\mu (1 - \gamma_5) b_\beta$

Dim 7 has never been done

★ $\langle Q \rangle \equiv \langle \bar{B}_s^0 | Q | B_s^0 \rangle = \frac{8}{3} M_{B_s}^2 f_{B_s}^2 B(\mu)$ $Q = \bar{s}^\alpha \gamma_\mu (1 - \gamma_5) b^\alpha \times \bar{s}^\beta \gamma^\mu (1 - \gamma_5) b^\beta$

Dim 6 is done on the lattice

newest results (**Fermilab MILC 1602:03560**)

indicate a tension with experiment

★ **NNLO QCD has not been done**

NEWS

	$\Gamma_3^{(0)}$	$\Gamma_3^{(1)}$	$\Gamma_3^{(2)}$ < dim 6 >	$\Gamma_4^{(0)}$	$\Gamma_4^{(1)}$ < dim 7 >
B+	1985 ✓ -1996	2002 ✓	✗	2001 ✗ 2003 ✓	✗
Bs	1985 ✓ -1996	2002 ✓	✗	2001 ✗ 2003 ✓	✗
G12s	1985 ✓ -1996	1998 ✓ -2006	✗	-2016 ✗	1996 ✓ ✗
G12d	1985 ✓ -1996	2003 ✓ -2006	✗	-2016 ✗	2003 ✓ ✗

First steps: Asatrian et al 1709.02160

Sum rules: Kirk, Lenz, Rauh 1711.02100

HPQCD in progress, see LATTICE 2016, 2017

Sum rules: Kirk, Lenz, Rauh in progress

HQE FOR B-MIXING

Fit results from ATLAS, CDF, CMS, D0 and LHCb data	without constraint from effective lifetime measurements	with constraints I and II	with constraints I, II and III
Γ_s	$0.6640 \pm 0.0020 \text{ ps}^{-1}$	$0.6627 \pm 0.0020 \text{ ps}^{-1}$	$0.6625 \pm 0.0018 \text{ ps}^{-1}$
$1/\Gamma_s$	$1.506 \pm 0.005 \text{ ps}$	$1.509 \pm 0.004 \text{ ps}$	$1.509 \pm 0.004 \text{ ps}$
$\tau_{\text{Short}} = 1/\Gamma_L$	$1.415 \pm 0.007 \text{ ps}$	$1.414 \pm 0.006 \text{ ps}$	$1.414 \pm 0.006 \text{ ps}$
$\tau_{\text{Long}} = 1/\Gamma_H$	$1.609 \pm 0.010 \text{ ps}$	$1.618 \pm 0.010 \text{ ps}$	$1.619 \pm 0.009 \text{ ps}$
$\Delta\Gamma_s$	$+0.085 \pm 0.006 \text{ ps}^{-1}$	$+0.089 \pm 0.006 \text{ ps}^{-1}$	$+0.090 \pm 0.005 \text{ ps}^{-1}$
$\Delta\Gamma_s/\Gamma_s$	$+0.128 \pm 0.009$	$+0.135 \pm 0.008$	$+0.135 \pm 0.008$
correlation $\rho(\Gamma_s, \Delta\Gamma_s)$	-0.193	-0.153	-0.082



HFLAV 2018

Again: perfect agreement of experiment and theory

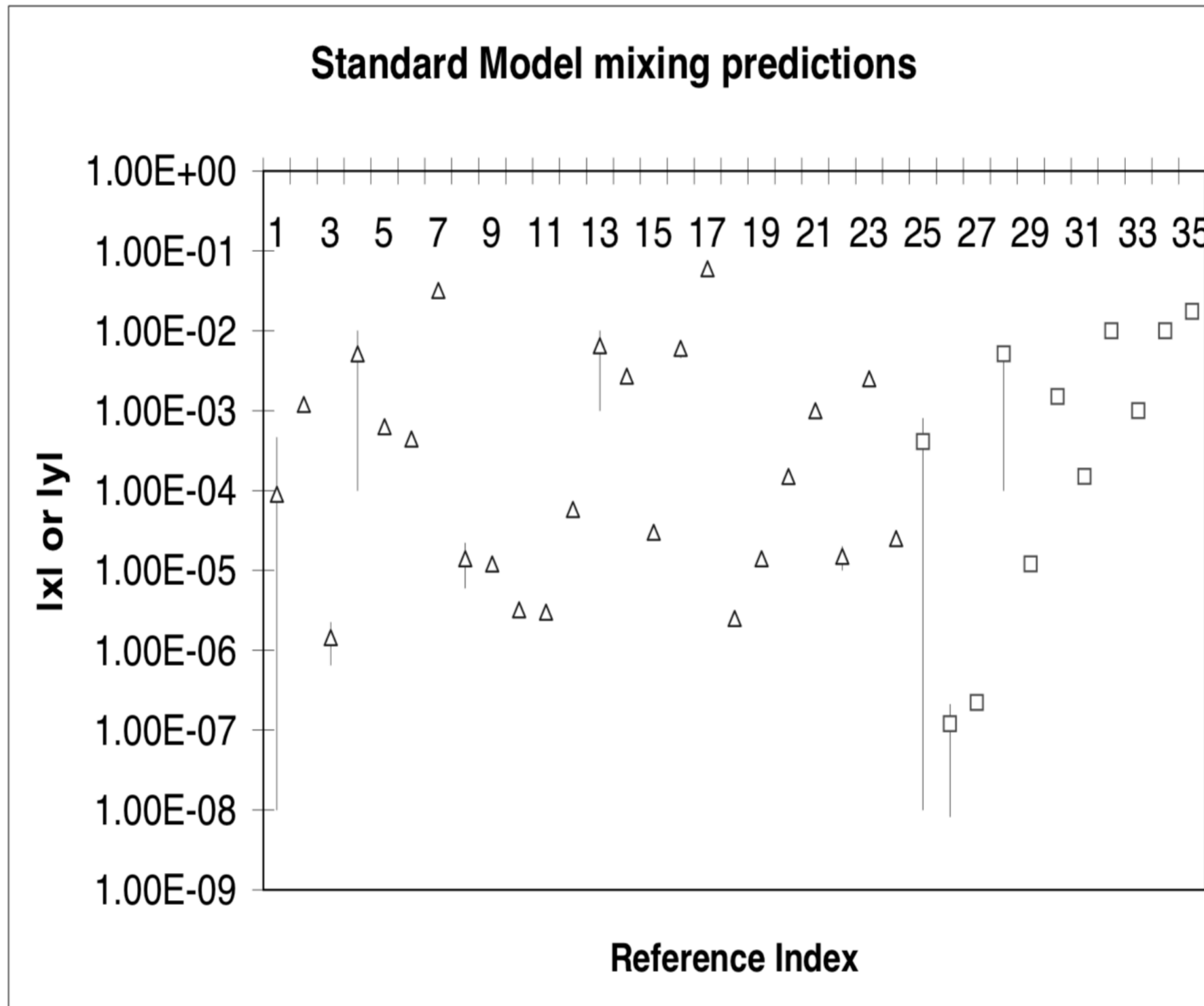
Observable	SM - conservative	SM - aggressive
ΔM_s	$(18.3 \pm 2.7) \text{ ps}^{-1}$	$(20.31 \pm 1.34) \text{ ps}^{-1}$
$\Delta\Gamma_s$	$(0.088 \pm 0.20) \text{ ps}^{-1}$	$(0.1001 \pm 0.0134) \text{ ps}^{-1}$
a_{sl}^s	$(2.22 \pm 0.27) \cdot 10^{-5}$	$(2.272 \pm 0.249) \cdot 10^{-5}$
$\frac{\Delta\Gamma_s}{\Delta M_s}$	$48.1 (1 \pm 0.173) \cdot 10^{-4}$	$49.3 (1 \pm 0.117)$
ΔM_d	$(0.528 \pm 0.078) \text{ ps}^{-1}$	$(0.615 \pm 0.053) \text{ ps}^{-1}$
$\Delta\Gamma_d$	$(2.61 \pm 0.59) \cdot 10^{-3} \text{ ps}^{-1}$	$(3.10 \pm 0.49) \cdot 10^{-3} \text{ ps}^{-1}$
a_{sl}^d	$(-4.7 \pm 0.6) \cdot 10^{-4}$	$(-4.88 \pm 0.54) \cdot 10^{-4}$
$\frac{\Delta\Gamma_d}{\Delta M_d}$	$49.4 (1 \pm 0.172) \cdot 10^{-4}$	$50.4 (1 \pm 0.130)$

**Jubb, Kirk, AL,
Tetlalmatzi-Xolocotzi
2017**

D MIXING



Charm theory is notoriously difficult



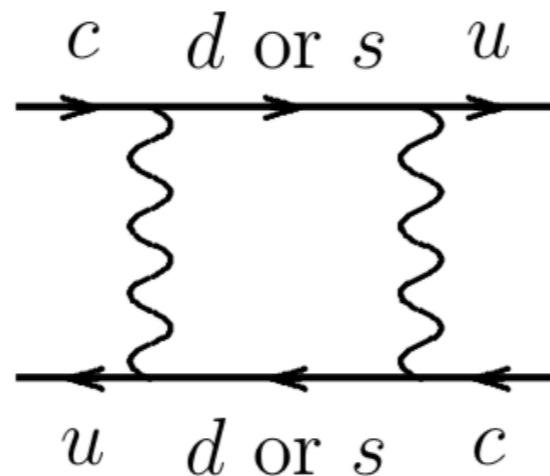
modified Nelson plot from A. Petrov hep-ph/0311371

Charm mixing - Theory 1

Flavour Eigenstates

$$|D^0\rangle = |c\bar{u}\rangle \quad |\bar{D}^0\rangle = |\bar{c}u\rangle$$

Mixing due to box diagrams



Mass Eigenstates

$$|D_{1,2}\rangle = p|D^0\rangle \pm q|\bar{D}^0\rangle$$

Charm mixing - Theory 2

Diagonalise mass and decay rate matrix

$$\Delta M_D^2 - \frac{1}{4} \Delta \Gamma_D^2 = 4 |M_{12}^D|^2 - |\Gamma_{12}^D|^2 ,$$

$$\Delta M_D \Delta \Gamma_D = 4 |M_{12}^D| |\Gamma_{12}^D| \cos(\phi_{12}^D) ,$$

mass difference $\Delta M_D = M_1 - M_2$

decay rate difference $\Delta \Gamma_D = \Gamma_2 - \Gamma_1$

absorptive part of box diagram (on-shell) Γ_{12}^D

dispersive part of box diagram (off-shell) M_{12}^D

relative phase $\phi_{12}^D = -\arg(-M_{12}^D/\Gamma_{12}^D)$

Charm mixing - Experiment

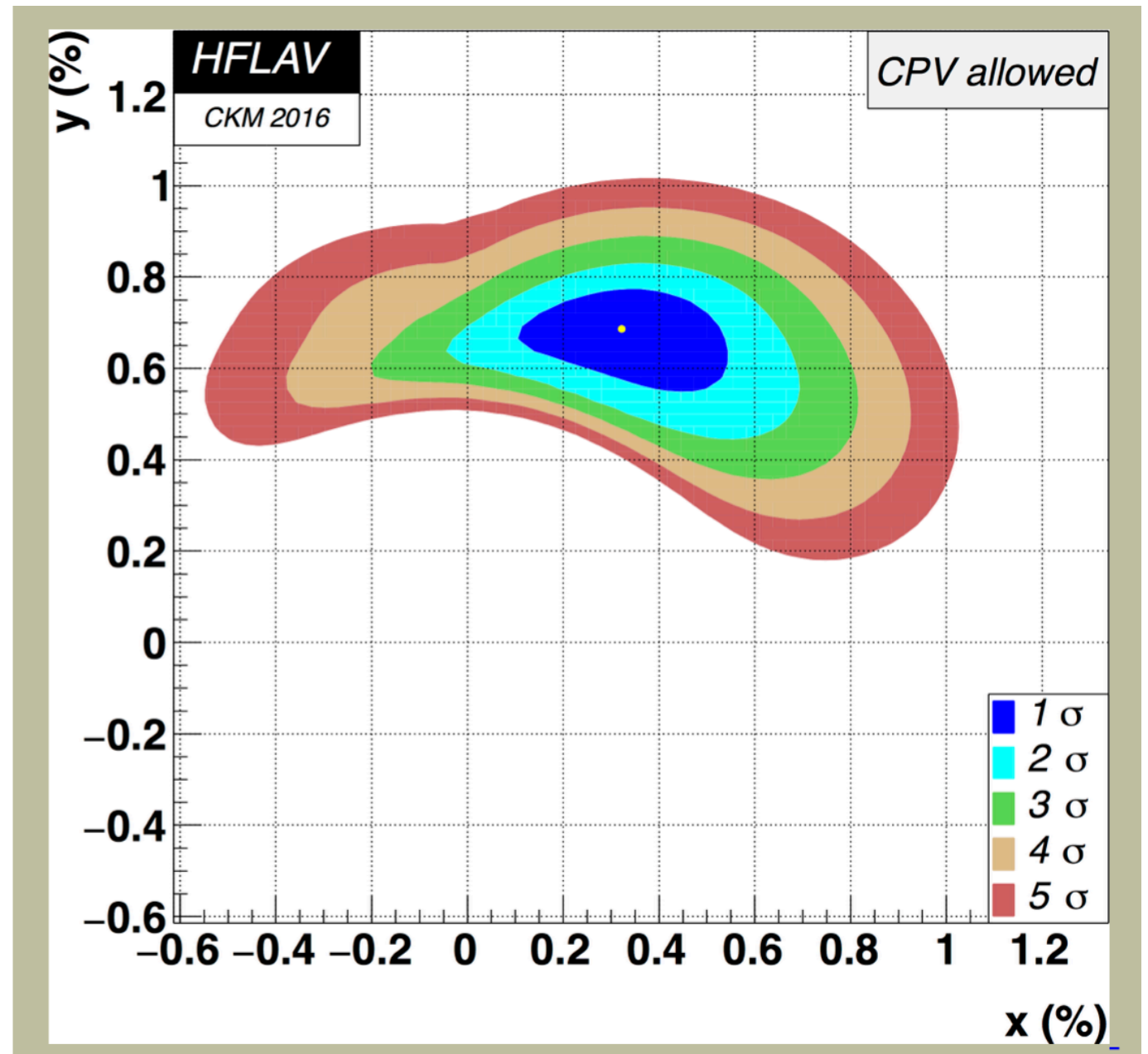
Experimental situation

$$x := \frac{\Delta M_D}{\Gamma_D} = 0.32\% \pm 0.14\%,$$

$$y := \frac{\Delta\Gamma_D}{2\Gamma_D} = 0.61\% \pm 0.07\%,$$

HFLAV 2016/2018

- Small values
- non-vanishing x not yet confirmed



Charm mixing - Theory 3

Crucial differences compared to B mixing

1) No simple formulae like $\Delta M_{B_s} = 2|M_{12}^{B_s}|$

both Γ_{12}^D and M_{12}^D have to be known!

but there is a bound $\Delta\Gamma_D \leq 2|\Gamma_{12}^D|$

Nierste 0904.1869
Jubb et al. 1603.07770

2) GIM cancellation vs CKM hierarchy: $\lambda_b \ll \lambda_s$, but complex!!!

$$\Gamma_{12}^D = -\lambda_s^2 (\Gamma_{ss}^D - 2\Gamma_{sd}^D + \Gamma_{dd}^D) + 2\lambda_s\lambda_b (\Gamma_{sd}^D - \Gamma_{dd}^D) - \lambda_b^2\Gamma_{dd}^D,$$

$$M_{12}^D = \lambda_s^2 [M_{ss}^D - 2M_{sd}^D + M_{dd}^D] + 2\lambda_s\lambda_b [M_{bs}^D - M_{bd}^D - M_{sd}^D + M_{dd}^D] + \lambda_b^2 [M_{bb}^D - 2M_{bd}^D + M_{dd}^D].$$

Charm mixing - Theory 3

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survives in
SU(3)_F limit!

Charm mixing - Theory 3

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survives in
SU(3)_F limit!

dominant for
B mixing

CPV

Charm mixing - Theory 4

Two theory approaches for calculating D mixing

1) **Inclusive** approach

Georgi 9209291

Ohl, Ricciardi, Simmons 9301212

Bigi, Uraltsev 0005089

Bobrowski et al 1002.4794

calculate on **quark level**

2) **Exclusive** approach

Falk et al 0110317

Falk et al 0402204

Cheng, Chiang 1005.1106

Jiang et al 1705.07335

calculate on **hadron level**

Due to extreme GIM cancellation very high precision necessary!!!

Charm mixing - Theory 5

The Heavy Quark Expansion

Voloshin, Shifman 1983, 1985

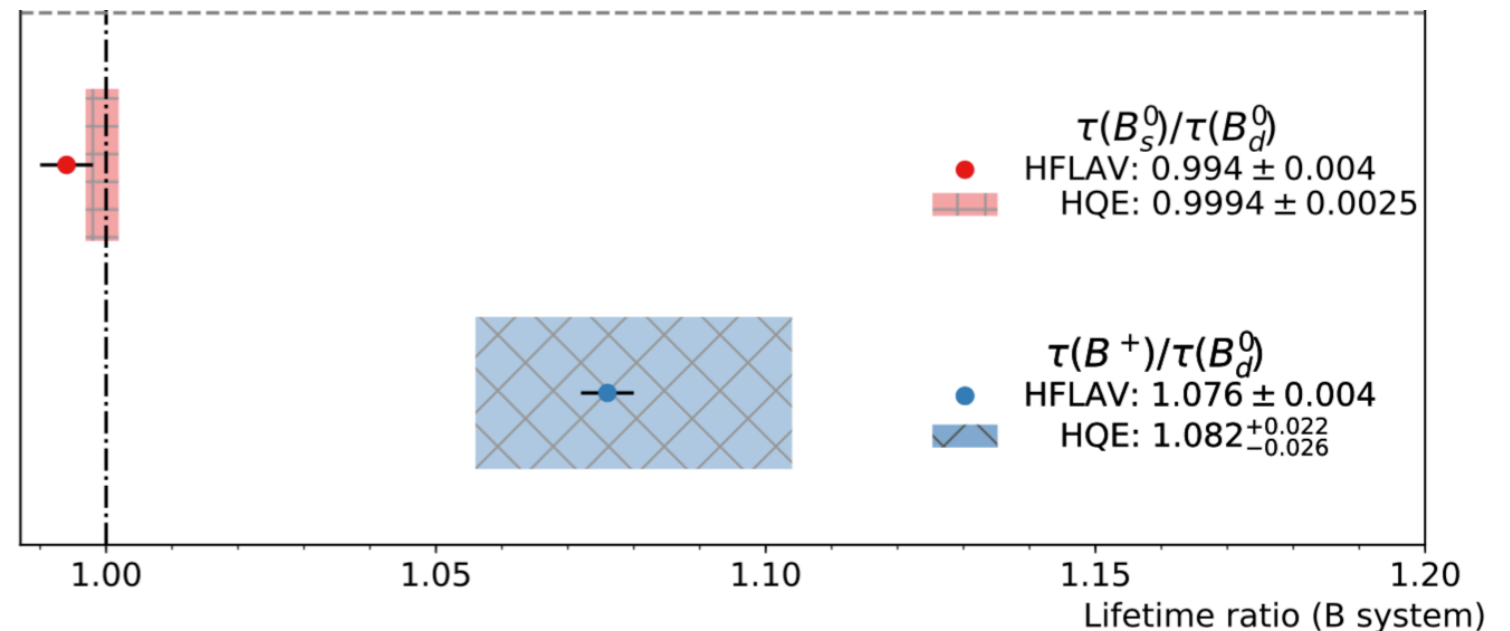
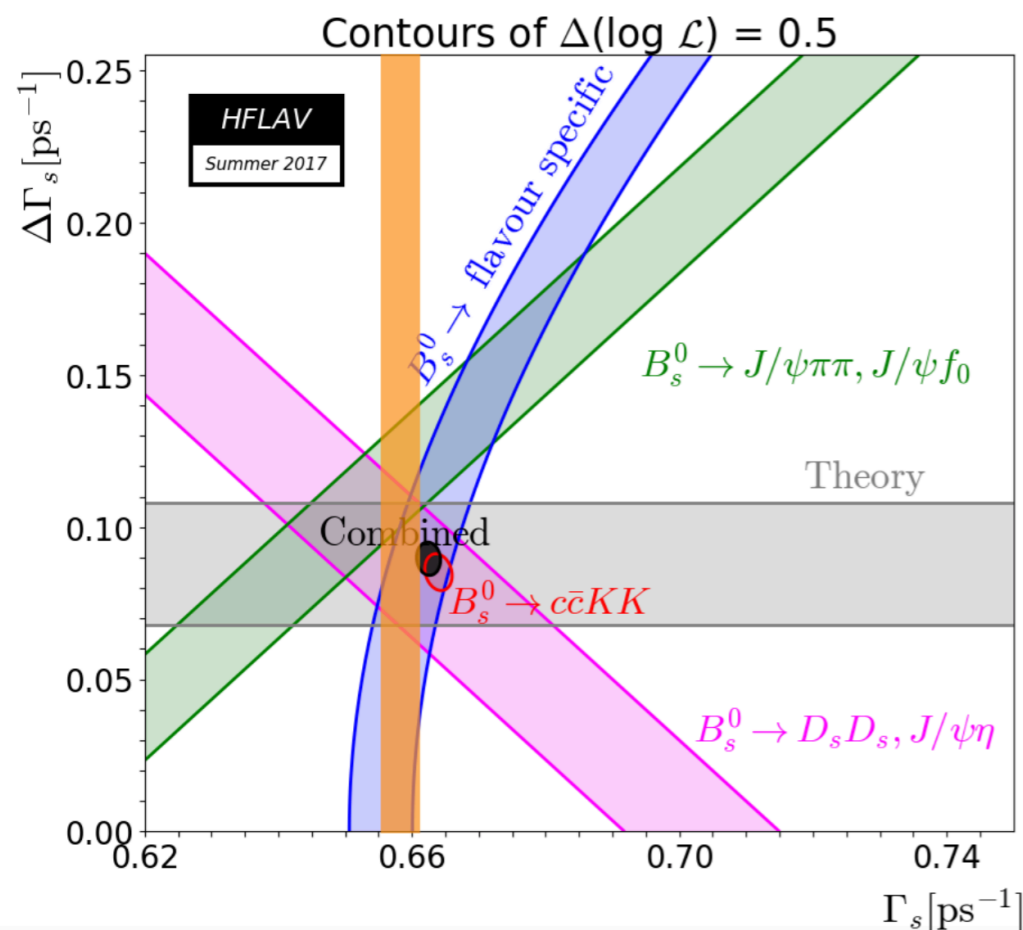
Bigi, Uraltsev 1992

Bigi, Uraltsev, Vainshtein 1992

Blok, Shifman 1992

Expansion in Λ/m_Q

The HQE works well in the B-system

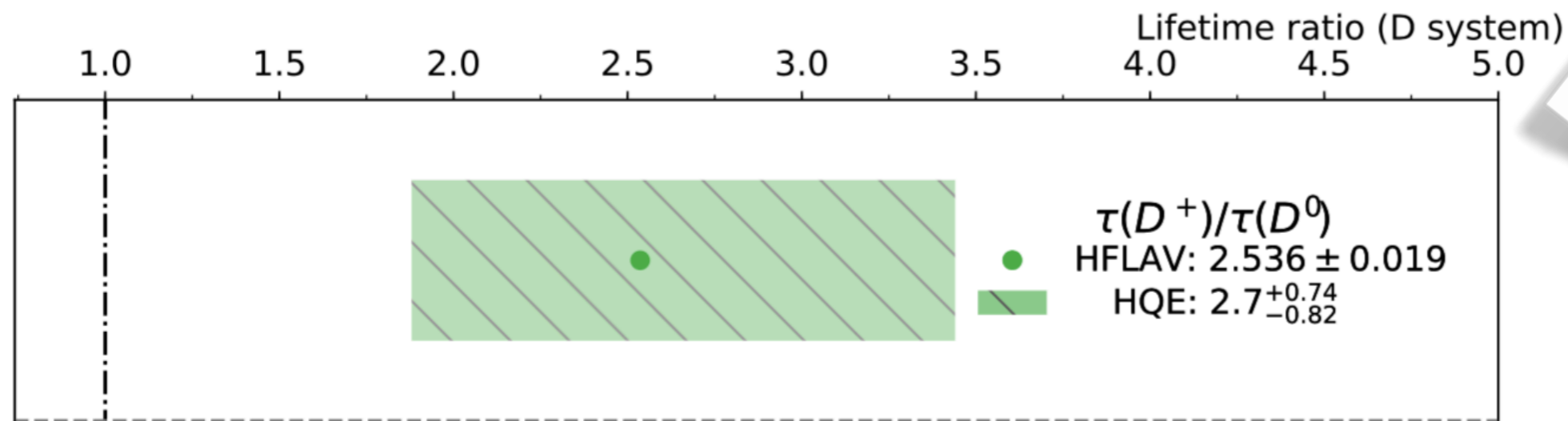


Kirk, AL, Rauh 1711.02100

Charm mixing - Theory 6

$\Lambda/m_c \approx 3\Lambda/m_b$ - could still give some reasonable estimates!

Look in systems without GIM cancellation: D-lifetimes



NEW
3-loop
sum rules

$$\frac{\tau(D^+)}{\tau(D^0)} = 2.7 = 1 + 16\pi^2 (0.25)^3 (1 - 0.34)$$

Kirk, AL, Rauh 1711.02100

pert. NLO-QCD:

AL, Rauh 1305.3588

Expansion parameter for HQE in charm = 0.3
not a back of envelope statement, but real calculations

d=6 calculated with sum rules
lattice confirmation urgently needed

d=7 estimated in vacuum insertion approximation
do sum rule/lattice

Charm mixing - Theory 7

The HQE is successful in the B system and for D meson lifetimes

=> apply it for D-mixing

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=> apply it for D-mixing

$$y_D^{\text{HQE}} \approx \lambda_s^2 (\Gamma_{12}^{ss} - 2\Gamma_{12}^{sd} - \Gamma_{12}^{dd}) \approx 1.7 \cdot 10^{-4} y_D^{\text{Exp.}}$$

How can this be?

Charm mixing - Theory 7

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$$y_D^{\text{HQE}} \approx \lambda_s^2 (\Gamma_{12}^{ss} - 2\Gamma_{12}^{sd} - \Gamma_{12}^{dd}) \approx 1.7 \cdot 10^{-4} y_D^{\text{Exp.}}$$

How can this be?

Look only at a single diagram:

$$y_D^{\text{HQE}} \neq \lambda_s^2 \Gamma_{12}^{ss} \tau_D = 3.7 \cdot 10^{-2} \approx 5.6 y_D^{\text{Exp.}}$$

pert. calculation: **Bobrowski et al 1002.4794**

lattice input: **ETM 1403.7302; 1505.06639; FNAL/MILC 1706.04622**

The problem seems to originate in the extreme GIM cancellations

Charm mixing - Theory 7

The HQE is successful in the B system and for D meson lifetimes

=> apply it for D-mixing

$$\Gamma_{12}^D = -\lambda_s^2 (\Gamma_{ss}^D - 2\Gamma_{sd}^D + \Gamma_{dd}^D) + 2\lambda_s\lambda_b (\Gamma_{sd}^D - \Gamma_{dd}^D) - \lambda_b^2\Gamma_{dd}^D,$$

$$\begin{aligned} 10^7\Gamma_{12}^{D=6,7} &= -14.6409 + 0.0009i && (1^{\text{st}} \text{ term}) \\ &\quad - 6.68 - 15.8i && (2^{\text{nd}} \text{ term}) \\ &\quad + 0.27 - 0.28i && (3^{\text{rd}} \text{ term}) \end{aligned}$$

Bobrowski et al 1002.4794

Important observation for CPV

Charm mixing - Theory 8

What could have gone wrong in D-mixing?

1. Duality violations - break down of HQE

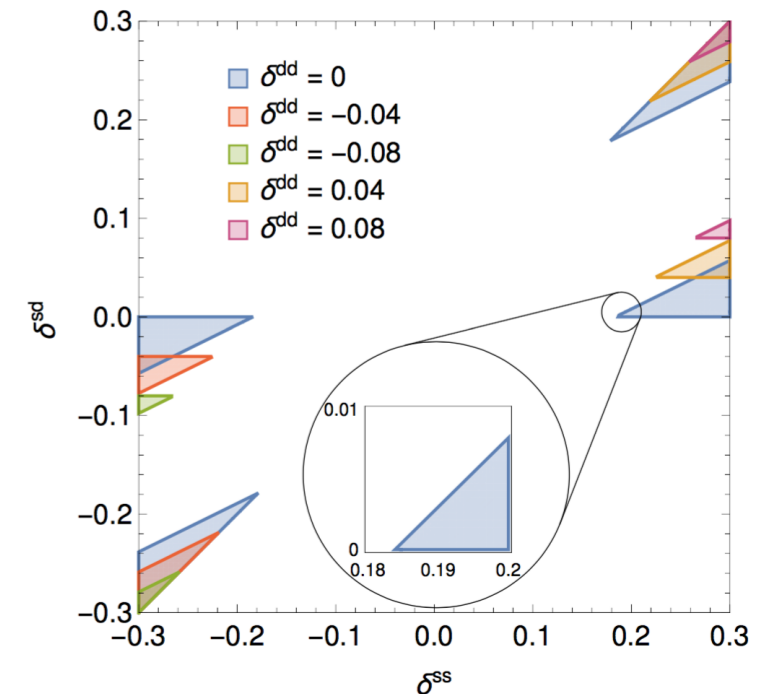
$$\Gamma_{12}^{ss} \rightarrow \Gamma_{12}^{ss}(1 + \delta^{ss}),$$

$$\Gamma_{12}^{sd} \rightarrow \Gamma_{12}^{sd}(1 + \delta^{sd}),$$

$$\Gamma_{12}^{dd} \rightarrow \Gamma_{12}^{dd}(1 + \delta^{dd}),$$

20% of duality violation
is sufficient to explain
experiment

Jubb, Kirk, AL,
Tetlalmatzi-Xolocotzi 2016



2. Higher dimensions Georgi 9209291; Ohi, Ricciardi, Simmons 9301212; Bigi, Uraltsev 0005089

Idea: GIM cancellation is lifted by higher orders in the HQE - overcompensating the $1/mc$ suppression.

Partial calculation of D=9 yields an enhancement - but not to the experimental value Bobrowski, AL, Rauh 2012

3. New Physics is present and we cannot proof it :-)

Exclusive approach

$$\Gamma_{12}^D = \sum_n \rho_n \langle \bar{D}^0 | \mathcal{H}_{eff.}^{\Delta C=1} | n \rangle \langle n | \mathcal{H}_{eff.}^{\Delta C=1} | D^0 \rangle,$$

$$M_{12}^D = \sum_n \langle \bar{D}^0 | \mathcal{H}_{eff.}^{\Delta C=2} | D^0 \rangle + P \sum_n \frac{\langle \bar{D}^0 | \mathcal{H}_{eff.}^{\Delta C=1} | n \rangle \langle n | \mathcal{H}_{eff.}^{\Delta C=1} | D^0 \rangle}{m_D^2 - E_n^2},$$

Cannot be calculated yet

Estimate phase space effects for y : **Falk et al 0110317**

- assume pert. SU(3)_F breaking $y \approx 1\%$
- neglect 3 family
- **neglect SU(3)_F breaking in matrix elements**

Mass difference from a dispersion relation **Falk et al 0402204** $x \approx y$

Exp. data **Cheng, Chiang 1005.1106** $x \propto \mathcal{O}(0.1\%)$ $y \propto \mathcal{O}(\text{few } 0.1\%)$

U-Spin sum rule **Gronau, Rosner 2012**

Factorisation-assisted topological amplitude approach

Jiang et al 1705.07335 $y \approx 0.2\%$

Direct lattice determination

Still a very long way!
But not completely crazy
anymore!

1. Multiple-channel generalization of Lellouch-Lüscher formula

(170) Maxwell T. Hansen, Stephen R. Sharpe (Washington U., Seattle). Apr 2012. 15 pp.

Published in **Phys.Rev. D86 (2012) 016007**

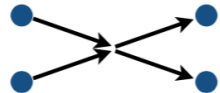
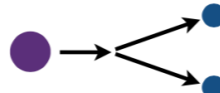
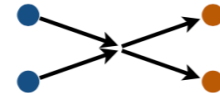
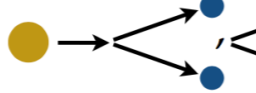
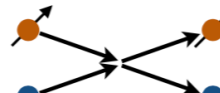

DOI: [10.1103/PhysRevD.86.016007](https://doi.org/10.1103/PhysRevD.86.016007)

e-Print: [arXiv:1204.0826 \[hep-lat\]](https://arxiv.org/abs/1204.0826) | [PDF](#)

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[ADS Abstract Service](#); [OSTI.gov Server](#)

[Detailed record](#) - [Cited by 170 records](#) 100+

Status of multi-hadron matrix elements in LQCD...

physical system	Method to get it from LQCD
$\pi\pi \rightarrow \pi\pi$, $\sqrt{s} < 4M_\pi$ ($\mathbf{P} \neq 0$ in finite-volume frame)*	 Lüscher (1986, 1991) Rummukainen and Gottlieb (1995)*
$K \rightarrow \pi\pi$ (relies on $M_K < 4M_\pi$) ($\mathbf{P} \neq 0$ in finite-volume frame)*	 Lellouch and Lüscher (2001) Kim, Sachrajda and Sharpe (2005)*, Christ, Kim and Yamazaki (2005)*
$\pi\pi \rightarrow K\bar{K}$, $\sqrt{s} < 4M_\pi$ (not possible for physical masses)	 Bernard et al. (2011), Fu (2012), Briceño and Davoudi (2012)
$D \rightarrow \pi\pi, K\bar{K}$ (ignores four-particle states)	 MTH and Sharpe (2012)
$NN \rightarrow NN, N\pi \rightarrow N\pi$ (energies below three-particle production)	 Detmold and Savage (2004) Göckeler et al. (2012) Briceño (2014)
$\gamma^* \rightarrow \pi\pi, \pi\gamma^* \rightarrow \pi\pi,$ $N\gamma^* \rightarrow N\pi$ $B \rightarrow K^*(\rightarrow K\pi)\ell\ell$ (energies below three-particle production)	 Meyer (2011), Bernard et al. (2012), A. Agadjanov et al. (2014), Briceño, MTH and Walker-Loud (2014) Briceño and MTH (2015)

slide by Max Hansen

Theory to-do-list

Non-perturbative matrix elements for D-meson lifetimes

- d= 6 lattice - check sum rules
- d=7 with sum rules, lattice
- NNLO matching a la [Grozin, Mannel, Pivovarov 1806.00253](#)
- charmed baryons

Determine higher dimension contributions to Γ_{12}

- D=9
- D=12

Determine M_{12}

Have a good idea for improving exclusive approaches

First direct lattice studies for D-mixing

(probably similar time scale as HL-LHC)

Charm Theory Task Force

- Joachim Brod, TU Dortmund
- Svjetlana Fajfer, Ljubljana
- Alexander Kagan, Cincinnati
- Alexander Lenz, IPPP Durham

October 2017, CERN
HL/HE Questions in charm

April 2018, Fermilab
Absorptive and dispersive
CPV in D mixing
(relation to experiment)

3	HL/HE questions for charm	
3.1	Charm mixing	● Theory predictions
3.2	Direct CP violating probes	
3.3	Null tests from isospin sum rules	
3.4	Radiative and leptonic charm decays	
3.5	Inputs for B physics	
3.6	Experimental prospects (including interplay with Belle II and BES III)	
3.7	Combined th/exp perspective on charm mixing/CPV global fits and charm as input to B physics	